

## LECTURE 1 Crash course on MMP

Varieties = normal projective  $\mathbb{Q}$ -factorial varieties /  $\mathbb{C}$  irreducible and reduced.

normality  $\Rightarrow \text{Sing}(X) \subseteq X$  has codim  $\geq 2$

set  $X^{\text{REG}} = X \setminus \text{Sing}(X)$ . On  $X^{\text{REG}}$  we have  $T_{X^{\text{REG}}}$  and its dual  $T_{X^{\text{REG}}}^*$ .  $\det T_{X^{\text{REG}}}^* \cong \mathcal{O}(K_{X^{\text{REG}}})$

locally

on a smooth var

for clsm.

$$K_{X^{\text{REG}}} = \sum_{i=1}^k d_i D_i \text{ divisor, we set } K_X = \sum d_i \bar{D}_i$$

$X \setminus X^{\text{REG}}$  does not contain any div  $\Rightarrow$  fixed  $K_{X^{\text{REG}}}$ ,  $K_X$  is unique.

projectivity  $\exists X \hookrightarrow \mathbb{P}^N$  embedding ( $\dagger$  not unique)

proj var have many subvar, e.g.  $H_i$  = zero locus of a hom poly of deg 1 in  $\mathbb{P}^N$ ,  $X \cap H_1 \cap \dots \cap H_d \subseteq X$ .

$X \cap H$  is a very ample divisor on  $X$

A div on  $X$  is ample if  $\exists m \in \mathbb{A} \sim A'$  very ampl.

$\mathbb{Q}$ -factoriality: every Weil div  $D$  has a multiple  $mD$  which is Cartier.

In particular  $X$   $\mathbb{Q}$ -factorial  $\forall D$  Weil div  $\nexists C$  curve we can define  $D \cdot C = \frac{1}{m} \deg \mathcal{O}(mD)|_C$   $mD$  Cartier

We set  $D_1 \equiv D_2 \Leftrightarrow D_1 \cdot C = D_2 \cdot C \quad \forall C \text{ curv.}$

$$N^*(X)_{\mathbb{R}} = \{ \text{Weil div} \} / \equiv$$

$$N_1(X)_{\mathbb{R}} = \{ \sum q_i C_i \mid C_i \text{ curve, } q_i \in \mathbb{R} \} / \equiv$$

where we extend  $\cdot$  by linearity and

$$C_1 \equiv C_2 \Leftrightarrow \forall D \quad D \cdot C_1 = D \cdot C_2.$$

The intersection product is a perfect pairing.

$$N^*(X)_R \times N_*(X)_R \rightarrow R$$

We set  $p(X) = \dim N^*(X)_R = \dim N_*(X)_R$  Picard number.

$$\text{Ex: } \circ p(\mathbb{P}^n) = 1 \quad \circ p(\mathbb{P}^n \times \mathbb{P}^m) = 2$$

$$\circ \tilde{X} = \text{Bl}_X \Rightarrow p(\tilde{X}) = p(X) + 1.$$

Def EFFECTIVE DIVISORS

Rk Ample divisors are s.t.  $\forall C \subseteq X$  curve  $A \cdot C > 0$ .

Indeed replace  $A$  by  $A' \sim mA$  very ample

$$X \subseteq \mathbb{P}^N \quad A' = X \cap H. \text{ Then}$$

$$A' \cdot C = H \cdot_{\mathbb{P}^n} C \quad \text{and we can deform}$$

$$H \text{ s.t. } H \not\supseteq C, H \cap C \neq \emptyset$$

{ MMP

Birational geometry / the Minimal Model Program (MMP)

is a program to classify varieties.

class  $\rightarrow$  equivalence. Our choice of eq rel is

Def  $X_1$  and  $X_2$  are bir equv  $X_1 \sim_{\text{bir}} X_2 \iff$

$$\exists U_i \subseteq X_i \text{ for open sets } \neq \emptyset (\Rightarrow \text{dense}) \text{ s.t. } U_1 \cong U_2.$$

One of the main ideas of the MMP is that in any birat equivalence class we can find some special elements where the geometry is simpler. I want to explain what they are, starting w surfaces.

$$\text{NB } X_1 \cong X_2 \rightarrow "X_1 = X_2" \text{ everything is mod isom}$$

Surfaces (All surf will be smooth)

Def A smooth surface  $S$  is minimal  $\iff \forall \varphi: S \rightarrow Y$  birat morph to  $Y$  smooth  $\varphi$  is an isom.

Ex

1)  $Y$  smooth surf,  $\hat{Y} = \text{Bl}_p Y$  pt  $\in Y$ , and

$\varepsilon: \hat{Y} \rightarrow Y$  bi morph. Then  $\hat{Y}$  is not minimal.

$$\varepsilon|_{\hat{Y}(p)} \mapsto p$$

2)  $S = \mathbb{P}^2$ . Let  $\mu: S \rightarrow Y$  be a birat morph. to  $Y$  proj.

A ample on  $Y$ . Then  $\mu^* A$  is effective on  $\mathbb{P}^2 \Rightarrow \mu^* A \sim kH$   $H$  hyperplane (line)  $k > 0$ .

$C \in \text{Exc}(\mu)$  curve contracted by  $\mu$ .

$$\text{Then } 0 = \mu^* A \cdot C = kH \cdot C \geq 0$$

{ These two examples suggest that a "surf" contains some special subvar. that can be contracted

Def  $E \subseteq S$  num curve is a (-1)-curve if the foll equiv conditions are satisfied

$$1) E \cong \mathbb{P}^1, E^2 = -1 \quad 2) k_E \cdot E = E^2 = 1$$

1  $\Rightarrow$  2 by the Adjunction formula

Thm (Castelnuovo)  $E \subseteq S$  (-1) Curve. Then there is a smooth surface  $\bar{S}$  and  $\varepsilon: S \rightarrow \bar{S}$  birat and  $p: \bar{S} \rightarrow \bar{S}$  s.t.

$$\varepsilon|_{S \setminus E}: S \setminus E \rightarrow \bar{S} \setminus \{p\} \text{ isom, } \varepsilon(E) = p$$

$\varepsilon = \text{bir of } \bar{S} \text{ along } p$ . Moreover  $p(\bar{S}) = p(S) + 1$ .

Thm. Minimal models of surfaces exist

( $\forall S$  smooth surf, there is Sm minimal  $S'$  bir Sm).

Proof

\*  $S$  surface. If  $S$  contains a (-1)-curve  $E_1$ , by Castelnuovo thm. there is  $S \rightarrow S_1$  contraction of  $E_1$ .  $p(S_1) = p(S) - 1$ .

If  $S_1$  contains a (-1) curve  $E_2$ , we contract it again.

After a fnt of steps we get a surface w no (-1) curve.

The process ends because  $p(S_i) > 0 \forall i$ .

\* If  $S$  does not contain any (-1) curve then it is minimal.

Follows from factorisation thm for surf:

$f: S \rightarrow Y$  bir morph, then there are  $Y_i$  and

$Y_i \rightarrow Y_{i+1}$  contr of (-1) curves s.t.

$$f: S = Y_0 \rightarrow Y_1 \rightarrow \dots \rightarrow Y_{k-1} \rightarrow Y_k = Y. \quad \square$$

Only Uniqueness of minimal surface)  $S$  minimal surface.

There are two cases: either

- 1)  $K_S$  is nef ( $K_S \cdot C \geq 0 \forall C \subseteq S$ ), and the moduli  $S$  is !.
- 2)  $S$  is covered by rat curves and there is an infinity of non-modular  $S' \sim_{bir} S$ .

(Take a look closely at the birr cycles of  $\mathbb{P}^2$ )

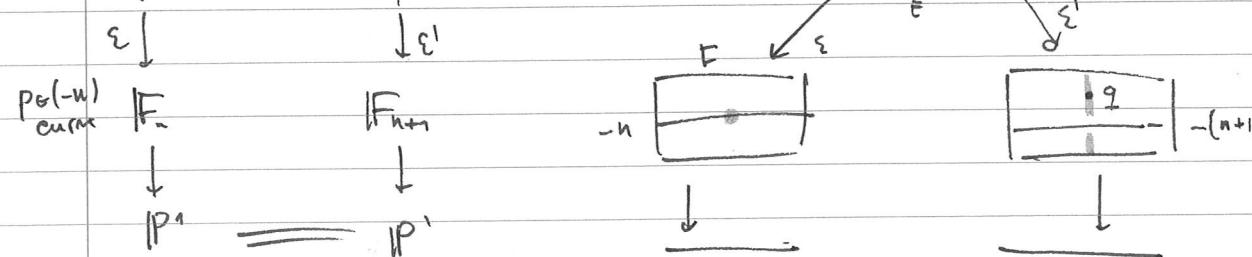
$$\text{ex. } \mathbb{P}^2 \sim_{bir} \mathbb{F}_n = \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(n)) \quad n \in \mathbb{Z}.$$

$\mathbb{F}_n \rightarrow \mathbb{P}^1$  fibration w fibs  $\cong \mathbb{P}^1$ .  $\forall n \neq 1$   $\mathbb{F}_n$  non-mod.

We look at  $\mathbb{F}_n \dashrightarrow \mathbb{F}_{n+1}$ .

Inside  $\mathbb{F}_n$  there is a curve of selfint  $(-n)$  which is a fiber of  $\mathbb{F}_n \rightarrow \mathbb{P}^1$ .

$$\text{Bl}_p \mathbb{F}_n = \text{Bl}_q \mathbb{F}_{n+1}$$



$$0 = (E + \tilde{F})^2 = E^2 + \tilde{F}^2 + 2 E \cdot \tilde{F} \Rightarrow \tilde{F}^2 = -1, \tilde{F} \cong \mathbb{P}^1$$

$\begin{matrix} \text{---} \\ -1 \\ \text{---} \end{matrix} \quad \begin{matrix} \text{---} \\ 2 \\ \text{---} \end{matrix}$

$\Rightarrow$  can contr by Cort

Higher dimensional varieties

[In higher dimension selfint of curves/divisors does not work, so we switch from  $E^2 = -1$  to  $K_X \cdot E < 0$ ]

$(-1)$  curves Replaced w  $C_i$  s.t.  $K_X \cdot C_i < 0$

Def A variety  $X$  is called minimal if  $K_X$  is nef

Replacement for Cort flm

Def The Mori cone / cone of curves of  $X$  is

$$\text{NE}(X) = \left\{ \text{cone generated in } N_1(X)_R \text{ by } [C_i] \text{ w } \right\}$$

$C_i$  int curve

$\overline{\text{NE}}(X) = \text{closure of } \text{NE}(X) \text{ in } N_1(X)_R \text{ w euclidean topology.}$

EXAMPLES

- $\mathbb{P}^n$  all the curves are num eq to  $k e$  w  $k \in \mathbb{Z}_{\geq 0}$ .  
 $\ell$  line in  $\mathbb{P}^n$ .  $\Rightarrow \text{NE}(\mathbb{P}^n) = \mathbb{R}_+[e]$
- $\text{Bl}_p \mathbb{P}^n =: X$ .  $\varepsilon: X \rightarrow \mathbb{P}^n$   $E \cong \mathbb{P}^{n-1} \cong \varepsilon^*(p)$ .  $h \subseteq E$  line  
let  $\tilde{\ell} \subseteq \mathbb{P}^n$  line with pt  $p$ .  $\tilde{\ell} = \varepsilon^*(\ell \setminus \{p\})$

$$\text{EXERCISE } \overline{\text{NE}}(X) = \mathbb{R}_+[\tilde{e}] + \mathbb{R}_+[h]$$

$$\text{Wht: (1) } N_1(X)_R = \mathbb{R}[\tilde{e}] + \mathbb{R}[h]$$

(2) prove that  $\forall C$  int  $C = a\tilde{e} + b h$   $a, b \geq 0$ .  
 $\varepsilon_* C = \deg(C \rightarrow \varepsilon(C)) \varepsilon(C) = ke$   $k \geq 0$  and  $a = k$   
then intersect with  $\tilde{e}$  to get  $b \geq 0$   
 $\tilde{h} = \varepsilon^* h - E$ .

- $\text{Bl}_{p,q} \mathbb{P}^n = X$   
 $h_p \subseteq E_p$  line  $h_q \subseteq E_q$ , line,  $\ell$  thru  $p, q$   
 $\text{ex. } \overline{\text{NE}}(X) = \mathbb{R}_+[h_p] + \mathbb{R}_+[h_q] + \mathbb{R}_+[\ell]$ .

$$\cdot X = \mathbb{F}_n \quad \overline{\text{NE}}(X) = \mathbb{R}_+[\ell] + \mathbb{R}_+[s_n]$$

$\ell$  line  $\overset{\uparrow}{(-n)}$  curve.

Conc and contraction flm. (Mori)

terminal fact

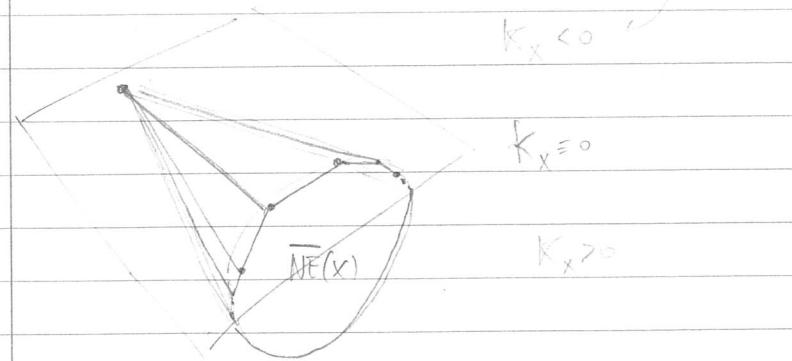
$X$  smooth variety. Then  $\overline{\text{NE}}(X) = \overline{\text{NE}}(X)_{k \geq 0} + \sum_{i \in I} R_i$

where  $I$  is countable and  $\sum_{i \in I} R_i = \overline{\text{NE}}(X)_{k < 0}$ .

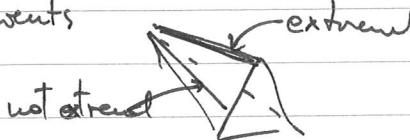
Moreover

1)  $\forall i \exists C_i$  rat curve s.t.  $R_i = \mathbb{R}_+[C_i]$ ,  $K_X \cdot C_i < 0$

2)  $\forall A$  ample flm the rays in  $(K_X + A)_{< 0}$  are finite  
therefore the  $R_i$  are discrete in  $k < 0$  and accumulate only towards  $k = 0$



[Def  $R$  is extremal if it is not linear comb of other rays w positive coefficients]



3) If  $R_i$  is extremal then there is  $c_{R_i}: X \rightarrow Y$  surjective

w connected fibres s.t.  $c_{R_i}(C) = p \Leftrightarrow [C] \in R_i$

$c_{R_i}$  = contraction of  $R_i$ . Moreover  $p(X) = p(Y) + 1$ .

(Instead of the  $(-1)$  curves, we get the negative extr rays of the con. So the issue is to contract all the extr rays)

3 POSSIBILITIES FOR  $c_R$

dim  $X > \dim Y$   $X \rightarrow Y$  is called a MFSpace.  $\begin{cases} \text{freeze def} \\ \text{will come later} \end{cases}$   
 $\text{Ex: } \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m \quad R = \mathbb{R}_+[\ell] \quad \ell \leq \mathbb{P}^n \text{ w.r.t.}$

dim  $X = \dim Y$  and  $\text{Exc}(c_R)$  has codim 1 in  $X$   
 $c_R$  is said divisorial.

$\text{Ex: Bl}_{\mathbb{P}} Y \rightarrow Y \quad R = \mathbb{R}_+[\ell] \quad \ell \leq E \cong \mathbb{P}^{\dim X - 1} \text{ w.r.t.}$

BUT  $Y$  is not smooth anymore.

It is terminal and Q-factorial  $\begin{cases} \text{comment on} \\ \text{terminality} \end{cases}$

Good NEWS the cone and contr. rule holds  
 $\text{for } X \text{ terminal, Qf } \star$

dim  $X = \dim Y$  and  $\text{Exc}(c_R)$  has codim } 2 in  $X$   
 $c_R$  is small

here  $Y$  is not Qf,  $K_Y$  is not Cartier  $\begin{cases} \text{w.r.t. } K_Y \text{-ray does not} \\ \text{make rule, no Qf Cartier} \end{cases}$   
EXAMPLES are trickier, one needs either  $X$  sing or dim 3, or dim 2+  
(but something sim in 4th lecture)

GOOD NEWS we can perform a flip,  $\begin{array}{ccc} X & & X^+ \\ c_R \searrow & & \swarrow c^+ \\ & Y & \end{array}$

when  $c^+$  is the contraction of a  $K_{X^+}$ -positive extra ray in  $\overline{\text{NE}}(X^+)$ ,  $c^+$  is small  $X^+$  terminal Qf  $c_R(\text{Exc}(c_R)) = c^+ \text{Exc}(c^+)$   
In fact  $p(X) = p(X^+)$

Flips exists (Hacon McK)  $X^+ = \text{Proj}_Y \left( \bigoplus_m f_* \mathcal{O}_X(mK_X) \right)$

### PROJECTION OF THE DIAGRAM

Notice that if we never go thru the flip part, the MMP terminates as  $p(X) = p(Y) + 1$  and  $p(X) > 0$   
LECTURE 2

Termination is not known in general but it is in the case that will interest us:  $X \sim \mathbb{P}^n$  notice that we made by BCHM it ends w.a MFS.

Def A Mori fiber space is the data of  $X$  terminal Qf  $f: X \rightarrow S$  conflu, surjective, s.t.  
 $p(X) = p(S) + 1, -K_X |$  general flu is ampl.

Uniqueness of the outcome of the MMP if it is a Mfs

Notice that we made many choices in the "algorithm"

The (Sarkisov) program. Continue in L3, HMcK in dim n  
Let  $Z$  be a var and  $X/Y, Y/S$  be two MFS which are outcome of MMP on  $Z$ . Then the bir morph  $X \rightarrow Y$  decomposes into a seq of diagrams called Sarkisov

\* Sankisov diagram

works ✓ when

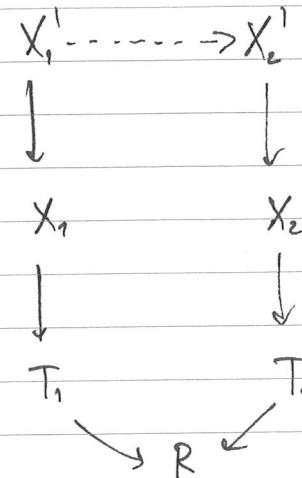
- $X_i' \rightarrow X_i$  is a div contr or an isomorph.

$X_i' \rightarrow X_i'$  is an isom in codim 2

$X_i \rightarrow T_i$  is a Mfs

$T_i \rightarrow R$  are rsm or  $p(T_i/R) = 1$

$p(X_i') = p(R) + 2 \Rightarrow$  one of  $X_i' \rightarrow X_i$  or  $T_i \rightarrow R$  is rsm



Moreover, all the arrows involved are "bits" of MMP over  $R$ .

Ex  $\mathbb{F}_n \dashrightarrow \mathbb{F}_{n+r}$

& Adding groups to the mix.

NOT  $\text{Aut}(X) = \text{automorphism group of } X$

dim  $X=1$  (bir equiv and isom are the same)

3 main classes

$X$	$\mathbb{P}^1$	elliptic curves	curves of genus $\geq 2$
$K_X$	anticanonical	$\sim 0$	ample
$\text{Aut}(X)$	$\text{PGL}(2)$ linear affine group	finite extension of $X$ (an elliptic curve is a group $\mathbb{C}/\Lambda$ )	finite (of cardinality $\leq 84(g-1)$ )

For an algebric variety, the autom group has a topology and we set  $\text{Aut}^\circ(X) =$  the connected component containing id $_X$ .  $\text{Aut}^\circ(X) \triangleleft \text{Aut}(X)$  and is an algebraic group.

Def  $G$  is an algebric group if  $G$  has a structure of algebric variety s.t. the operations (mult & inverse) are morphisms.

Thm (Chevalley)  $G$  algebric gp  $\Leftrightarrow$  there is a sequence

$$\{1\} \rightarrow H \rightarrow G \rightarrow A \rightarrow \{1\}$$

$H$  affine linear,  $A$  abelian var.

To the autom group is a mix of linear and abelian

Remarks

- If  $\text{Aut}^\circ(X)$  is a non-triv linear group then  $X$  is covered by rat curves because a lin group is

(2) If  $X$  is an abelian variety then  $\text{Aut}^o(X) = X$

(3) If  $X$  is of general type (i.e.  $K_X = \text{ample + Effective}$ )

then  $\text{Aut}(X)$  is finite by a result of Hacon-McKernan-Xu

The structure of the aut gp mirrors the geom of the variety

**Remark 2** If  $X_1 \sim_{\text{bir}} X_2$ , then  $\text{Aut}^o(X_1)$  and  $\text{Aut}^o(X_2)$  are subgroups of  $\text{Bir}(X_1) = \text{Bir}(X_2)$

Def  $\text{Bir}(X) = \left\{ f: X \dashrightarrow X \text{ rat map} \mid \exists U, V \subseteq X \right. \begin{array}{l} \exists \mu: U \xrightarrow{\cong} V \\ f|_U = \mu \end{array} \right\}$

**Idea:** The varieties w bigger  $\text{Aut}^o(X)$  are more symmetric and nicer representatives in their bir class

On the other hand, if we consider the ormonne group  $\text{Bir}(\mathbb{P}^n)$ , studying its alge subgroups is a way of studying the group itself.

What we'll do now is assuming we fix

$G \subset \text{Aut}^o(X)$  connected

(and see how this will influence the setup we introduced yesterday)

1.  $g(X^{\text{REG}}) = X^{\text{REG}}$   $\forall g \in G$ .

$g^*(T_{X^{\text{REG}}}^*) \cong T_{X^{\text{REG}}}^* \Rightarrow g^* K_X \simeq K_X \quad \forall g \in G$   
→ the canonical class is preserved.

2.  $\overline{\text{NE}}(X)$ . There is an action of  $G$  on  $\overline{\text{NE}}(X)$  given by  
 $g \cdot [C] = [g(C)] \quad \forall g \in G$ .

Moreover, if  $K_X \cdot C < 0$ , then  $K_X \cdot C = g(g^{-1})^* K_X \cdot g_* C = K_X \cdot g(C)$   
Then  $\overline{\text{NE}}(X)_{K_X < 0} \stackrel{\text{(def)}}{=} \overline{\text{NE}}(X) \cap \left\{ \sum \delta_i [C_i] \mid \sum \delta_i [C_i] \cdot K_X < 0 \right\}$

is preserved by the action of  $G$  on  $\overline{\text{NE}}$

By the cone theorem  $\overline{\text{NE}}(X)_{K_X < 0} = \sum_{i \in I} R_i$  sum of countably many rays.

⇒ the action of  $G$  on  $\overline{\text{NE}}(X)_{K_X < 0}$  is trivial.

Indeed  $G$  permutes the extra rays, but they are discrete

⇒ form is trivial.

⇒  $\forall [C] \in R_i, [gC] \in R_i$ .

- "the exceptional locus of  $C_R = \bigcup_{i \in I} C_i$ " is  $G$ -invariant.

3. MMP

Fundamental lemma for act of conn groups is

Blanchard's lemma Let  $f: X \rightarrow Y$  be a proper surj morph w connected fibres.  $G$  conn gp acting on  $X$ .

Then there is an action of  $G$  on  $Y$

sth  $\forall x \in X, g \cdot f(x) = f(g \cdot x) \quad \forall g \in G$

(making  $f$   $G$ -equivariant)

$$\begin{array}{ccc} X & \xrightarrow{f} & X \\ \downarrow & & \downarrow \\ Y & \xrightarrow{g} & Y \end{array}$$

(NB  $G$  is not a subgp of  $\text{Aut}^o(Y)$ , we only have a hom  $G \rightarrow \text{Aut}^o(Y)$ )

$$\begin{array}{ccc} & & \\ & & \end{array}$$

IDEA of why this is true (not a sketch of pf)  
 $F$  fibre of  $f$ .  $F = f^{-1}(y)$ . We want to  
set  $g \cdot y = f(g \cdot F) \Rightarrow$  we need to show that  $HF \# g$   
 $g(F)$  is a fibre of  $f$ .  
Fix  $g \in G$ , if  $\{G\}$  connected, let  $\Gamma \subseteq G$  curve id,  $g \in \Gamma$ .

$$\begin{array}{ccc} \Gamma \times F & \xrightarrow{\text{ev}} & X \\ (r, x) & \mapsto & r(x) \end{array}$$

The image of  $\text{ev}$  has  $\dim = \dim F + 1$ .

Replace  $X$  w  $\overline{\text{ev}(\Gamma \times F)} = W$  (assume it is normal)

Then  $F, g(F)$  are divisors in  $W$ , they are  
num eq because they sit in the same def family.  
 $\Rightarrow F|_{g(F)} \equiv 0$ . They are  $\neq$ , and  $F \geq 0 \Rightarrow F|_{g(F)} \sim 0$ .

$\Rightarrow$  disjoint. Moreover  $A$  ample on  $Y \Rightarrow f^* A|_{g(F)} \equiv 0 \Rightarrow \sim 0$   
...  $g(F)$  is contracted by  $f$ .  $\square$

In fact if  $c_Y: Y \rightarrow Y$  and  $G \subset \text{Aut}^0(X)$   
 $\Rightarrow G$  acts on  $Y$ .

Lemma  $X, G \subset \text{Aut}^0(X)$ .  $X \xrightarrow{\varphi} X^+$  flop of  $R$   
 $\varphi \downarrow Y \downarrow c_Y$  extra ray

then  $G \subset \text{Aut}^0(X^+)$   
(more precisely  $\varphi^* G \varphi^{-1} \subset \text{Aut}^0(X^+)$ )

proof. (sketch)

$G$  acts on  $Y$ ,  $X^+ = \text{Proj}_Y \mathcal{O}(mK_X)$   
 $g \in H \in \sim mK_X$   $g(E) \sim mK_X \Rightarrow g$  acts on  $X^+$   
 $\forall g \in G$   $\square$

SLOGAN:  $G \subset \text{Aut}^0(X)$ . Every MMP on  $X$  is a  $G$ -MMP.

[HALF]

From Aut to Bir

We switch point of view now from subgroups  
of Aut to subgroups of Bir, the class of good subgroups  
is the following

Def  $G$  is an algebr group acting rationally on  $Z$  if  
 $G$  is an alg gp and there is  $G \times Z \xrightarrow{\psi} G \times Z$

$$1. \psi(g, x) = (g_1, \psi_2(g, x))$$

$$2. \text{isom on } U \subseteq G \times Z \text{ s.t. } p_1(U) = p_2(U) = G.$$

$$3. p: G \rightarrow \text{Bir}(Z)$$

$$g \mapsto (x \mapsto \psi_2(g, x)) \text{ is a gp hom.}$$

If  $G \subset \text{Aut}^0(Z)$  the action is regular.

without this  
 $G$  we only have  
action of  
 $G$  on  $Z$   
The "special"  
hyp is (2)

EXAMPLES:

$$1) X = \mathbb{P}^n \quad G = (\mathbb{A}^1, +)$$

$$\mathbb{A}^1 \times \mathbb{A}^n \xleftarrow{\cong} \mathbb{A}^1 \times \mathbb{A}^n$$

$$(t, (x_1 - x_n)) \mapsto (t, (x_1 - x_{n-1}, x_n + tx_1))$$

$$2) \text{Aut}^0(\mathbb{Z}_1) \text{ for } \mathbb{Z} \sim_{\text{bir}} \mathbb{Z}_1$$

Will not comment on the def further, but the reason  
why it is important is  
Connected

Then (Weak) Graly gp acting rat on  $\mathbb{Z}$

Then there is  $W \sim_{\text{bir}} \mathbb{Z}$  s.t.  $G \subset \text{Aut}^0(W)$

$$\varphi: W \dashrightarrow \mathbb{Z} \quad (\text{more precisely } \varphi^* G \varphi \subset \text{Aut}^0)$$

Def  $G \subset \text{Bir}(Z)$  is max connected algebr gp acting rat on  $Z$   
is maximal if  $\forall H$  com algebr gp acting rat on  $Z$   
 $G \subset H \Rightarrow G = H$ .

From now on  $\mathbb{Z} = \mathbb{P}^n$ .  $\text{Bir}(\mathbb{P}^n) = \text{Birational group}$

$$n=1 \quad \text{Bir}(\mathbb{P}^1) = \text{PGL}(2) = \text{Aut}(\mathbb{P}^1)$$

$$n=2 \quad \text{Bir}(\mathbb{P}^2) = \langle \text{PGL}(3), \sigma \rangle$$

$$\sigma[x_0:x_1:x_2] = [x_1x_2:x_0x_2:x_0x_1] \quad (\text{check } \sigma^2 = \text{id})$$

$n \geq 3$  no such description exists.

Many results in literature, e.g. recently

Blane Lang Zimmerman.

$$\exists \text{Bir}(\mathbb{P}^n) \longrightarrow \bigoplus_I \mathbb{Z}_{\frac{1}{2^n}} \quad I \text{ uncountable.}$$

Classification of max subgroups.

$n=2$  Enriques (1893) conn core

Blanc (2008) gen core

$n=3$  Vassiliev series of 4 papers 1990~  
results by Blane Fanelli Terpene  
using MMP

$n \geq 4$  We do not know if every abelian  
subgp is included in a max conn

$\rightarrow$  also not true if  $X \not\cong \mathbb{P}^n$

Pasol  
Sokratidis

MMP strategy for studying  $G \subset \text{Bir}(\mathbb{P}^n)$  maximal.

- $G \subset \text{Bir}(\mathbb{P}^n)$  connected acting rat on  $\mathbb{P}^n$ .
- [Weil] there is  $W \rightarrow \mathbb{P}^n$  bir  $G \subset \text{Aut}^\circ(W)$ .
- We may assume  $W$  is smooth ( $G$ -equivariant exist)
- Apply a  $(G)$ -MMP for  $W$  and get  $X/B$  Mfs.  
 $G \subset \text{Aut}^\circ(X)$ .

- Then (G-Sarkisov program, F) Let  $W$  be w  $G \subset \text{Aut}^\circ(W)$  ✓  
 $X_1/B_1 \ X_2/B_2$  two Mfs outcome of two MMP on  
 $W$ . Then  $X_1 \dashrightarrow X_2$  can be factored into  
 $G$  equiv Sarkisov links/diagrams,  
i.e. diagrams where all the arrows are  
 $G$  equiv ✓ The link is a consequence of H.M.p  
and the fact that every MMP  
in a G-MMP

For  $G \subset \text{Bir}(\mathbb{P}^n)$  conn, acting rat on  $\mathbb{P}^n$ .

Then  $G$  is maximal iff  $G = \text{Aut}^\circ(X)$   $X/B$  Mfs.  
and for every  $X/B \dashrightarrow X_1/B_1 \dashrightarrow \dots \dashrightarrow X_k/B_k$   
sequence of Sark links  $G = \text{Aut}^\circ(X_k)$ .

proof (MAYBE NOT ENOUGH TIME & THIS)

$\Leftarrow$   $G \subseteq H$   $W$  s.th  $H \subset \text{Aut}^\circ(W)$  Y/T result of  
H-MMP on  $W$ . Thus there is also a  $G$ -MMP on  $W$   
and there is  $X/B \dashrightarrow X_1/B_1 \dashrightarrow \dots \dashrightarrow X_k/B_k = Y/T$   
factoring  $X \dashrightarrow Y$ . Then  $H \subset G$ . □

### EXAMPLES ON SURFACES.

$$\text{Construction } \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}^{d+1} \oplus \mathcal{O}_{\mathbb{P}^1}(n)) = \text{Proj}_{\mathbb{P}^1}(\mathcal{O}^{d+1} \oplus \mathcal{O}(n))$$

$\mathbb{G}_m^2$  = mult group in 2 variables.

$\Rightarrow \mathbb{P}(\mathcal{O}^{d+1} \oplus \mathcal{O}(n))$  is the quot of  $(\mathbb{A}^{d+1} \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\})$   
by the action of  $\mathbb{G}_m^2$ :

$$\mathbb{G}_m^2 \times (\mathbb{A}^{d+1} \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\}) \rightarrow (\mathbb{A}^{d+1} \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\})$$

$$((\lambda, \mu), (x_0 - x_1), (u_0 u_1)) \mapsto ((\mu x_0 - \mu x_{d+1}, \lambda^{-1} \mu x_0), (\lambda u_0, \lambda u_1))$$

Notice that on the 2nd factor gives  $\mathbb{P}^1$

Not: action of  $((x_0 - x_1), (u_0 u_1))$   $[x_0 - \lambda x_1; u_0 u_1]$

$$\mathbb{F}_n = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(n))$$

$$\mathbb{F}_0 = \mathbb{P}^1 \times \mathbb{P}^1 \quad \text{Aut}^\circ(\mathbb{F}_0) = \text{PGL}(2)^2 \text{ acts transitively}$$

on  $\mathbb{P}^1 \times \mathbb{P}^1$ .

$\Rightarrow$  any  $G$ -equiv bir transf is iso.

$\Rightarrow \text{PGL}(2)^2$  is max in  $\text{Bir}(\mathbb{P}^2)$

$$\mathbb{F}_n \quad n \geq 1$$

action of  $\mathbb{C}^{n+1} \rtimes \text{GL}(2, \mathbb{C})$  on  $\mathbb{A}^2 \setminus \{0\} \times \mathbb{A}^2 \setminus \{0\}$

$$\mathbb{C}^{n+1} = \left\{ a_0 Y^n + a_1 XY^{n-1} + \dots + a_n X^n \mid \begin{array}{l} \text{polynomials} \\ \text{of deg } n \end{array} \right\}$$

$$(a_0 Y^n + a_1 XY^{n-1} + \dots + a_n X^n, \begin{pmatrix} a & b \\ c & d \end{pmatrix})(x_0 x_1, u_0 u_1) =$$

$$= \left( (x_0 + x_1 \sum a_i u_0^{n-i}, (c u_0 + d u_1)^n \cdot x_1), \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} u_0 \\ u_1 \end{pmatrix} \right)$$

Ex: check  $\forall (\lambda, \mu) \in \mathbb{G}_m^2 \quad \forall (P, A) \in \mathbb{C}^{n+1} \rtimes \text{GL}$

$$(P, A) \cdot ((\lambda, \mu)(x_0 x_1, u_0 u_1)) = (\lambda, \mu)(P, A)((x_0 x_1, u_0 u_1))$$

$\Rightarrow$  the action descends to  $\mathbb{F}_n$ .

$$\text{Can prove that } \text{Aut}^\circ(\mathbb{F}_n) = \left( \mathbb{C}^{n+1} \rtimes \text{GL}(2, \mathbb{C}) \right) / \mu_n$$

$n$ -th roots  
of 1

$\boxed{n=1}$  The action preserve the only  $(-1)$  curve.

$$\mathbb{F}_1 = \text{Bl}_p \mathbb{P}^2. \text{ Then is } \mathbb{F}_1 = \text{Bl}_p \mathbb{P}^2$$

$$\text{and } \text{Aut}^\circ(\mathbb{F}_1) \subsetneq \text{PGL}(3)$$

$\rightarrow$  not maximal

Ind:  
this is a  
Sankisor  
link

$\boxed{n \geq 2}$  Notice that the action on the base  
of  $\mathbb{F}_n \rightarrow \mathbb{P}^1$  is given by  $\text{PGL}(2)$ .  
 $\Rightarrow$  it is transitive.

Aho,  $\text{Aut}^\circ(\mathbb{F}_n)$  preserves the only  $(-n)$  curve.  
 $\Rightarrow$  it acts transitively on it  
 $\Rightarrow$  it is an orbit ( $= G \cdot x \times \mathbb{C}^\times$ )  
 $= \{g(x) \mid g \in G\}$

the other orbit is  $\mathbb{F}_n \setminus (-n)$  curve.

$\Rightarrow$  no  $G$ -equiv bir maps.

$\Rightarrow$  no non-trivial Sankisor program.

### LECTURE 3 □ Blane Fanelli Terporean of Lm 3

In this lecture we study rational varieties  $\mathbb{Q}_g$  w MFS  $\mathbb{Q}_g \rightarrow \mathbb{P}^1$  s.t.  $\text{Aut}^\circ(\mathbb{Q}_g) \subseteq \text{Bir}(\mathbb{P}^3)$  is maximal. We prove it w the Cor from LEC 2. we will have  $\text{Aut}^\circ(\mathbb{Q}_g) = \text{PGL}(2)$  and "as usual,  $\mathbb{Q}_g$  as hypersurfaces".

Recall  $G \subset \text{Bir}(\mathbb{P}^3)$  alg subgp. is maximal iff  $G = \text{Aut}^\circ(X)$   $X/B$  MFS and for every seq of Saito's looks  $X/B \dashrightarrow X_1/B_1 \dashrightarrow \dots \dashrightarrow X_k/B_k$  we have  $G = \text{Aut}^\circ(X_k)$ .

#### CONSTRUCTION

$\mathbb{P}(\mathcal{O}_{\mathbb{P}^1}^{\oplus 3} \oplus \mathcal{O}_{\mathbb{P}^1}(n))$  is the quotient of  $\mathbb{A}^4 \setminus \{0\} \times \mathbb{A}^2 \setminus \{0\}$  by  $\mathbb{G}_m^2$  acting w

$$(\lambda, \mu) \cdot ((x_0, x_1, x_2, x_3)(u_0, u_1)) = ((\mu x_0, \mu x_1, \mu x_2, \lambda^{-n} \mu x_3)(\lambda u_0, u_1))$$

NOT: equivalence class  $[x_0 : x_1 : x_2 : x_3 ; u_0 : u_1]$

Rk-action on  $\mathbb{A}^2 \setminus \{0\}$  gives  $\mathbb{P}^1$

- fixed  $\lambda$  we get  $\mathbb{P}^1$  as quot.

Def  $\mathbb{Q}_g = \{[x_0 : x_1 : x_2 : x_3, u_0 : u_1] \in \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}^{\oplus 3} \oplus \mathcal{O}(n)) \mid$   
 $x_0^2 - x_1 x_2 - g(u_0, u_1) x_3^2 = 0\}$

Notice that the equation is homogeneous wrt to the action of  $\mathbb{G}_m^2$

We have  $\pi: \mathbb{Q}_g \rightarrow \mathbb{P}^1$  induced by  $\pi: \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}^{\oplus 3} \oplus \mathcal{O}(n)) \rightarrow \mathbb{P}^1$

#### FIBRES

$$\text{over } [\bar{u}_0 : \bar{u}_1] \in \mathbb{P}^1 \quad \gamma = g(\bar{u}_0, \bar{u}_1)$$

$\gamma \neq 0 \Rightarrow \pi^{-1}([\bar{u}])$  is a smooth quadric  $\mathbb{P}^1 \times \mathbb{P}^1$

$\gamma = 0$  sing quadric con, sing pt  $[0001]$

$\pi: \mathbb{Q}_g \rightarrow \mathbb{P}^1$  is a MFS (terminal,  $p(\mathbb{Q}_g) = p(\mathbb{P}^1) + 1 = 2$ ,  $\mathbb{Q}_g$  rel ample)  
 given by the eqn of  $\mathbb{Q}_g$  & its partial derivatives

$$\text{Sing}(\mathbb{Q}_g) = \{x_0 = x_1 = x_2 = 0, g(u_0, u_1) = 0, \frac{\partial g}{\partial u_0} = 0, \frac{\partial g}{\partial u_1} = 0\}.$$

Thus  $\mathbb{Q}_g$  is smooth  $\Leftrightarrow g$  is square-free.

If there is a sing pt, it is  $\bar{u} = [\bar{u}_0 : \bar{u}_1]$  s.t.  $\bar{u}_0, \bar{u}_1$  multiple root of  $g$ .

Locally around  $\bar{u}$  the eqn of  $\mathbb{Q}_g$  becomes  $x^2 - yz - t^m p(t)$ ,  $m \geq 2$ ,  $p(0) \neq 0$ .  
 a sing s.t. the inter of  $xw$  a hyperplane is  $A_1$   $\leftarrow$  specific analytic equation

It is the eqn of a cA, sing  $\Rightarrow$  terminal.

It is Qfact  $\Rightarrow x^2 - t^m p(t)$  irred  $\Rightarrow t^m p(t)$  not a sq.

$$( \Rightarrow ) \quad t^m p(t) = p_n(t)^2 \Rightarrow yz = (x - p_n(t))(x + p_n(t))$$

( $\Leftarrow$ ) exercise (eg w brute force)

From now on:  $g$  not a square.

#### 2) $\text{Pic}(\mathbb{Q}_g)$

$$\text{let } H = (x_3 = 0) \quad F = (u_1 = 0)$$

$$H_i = (x_i = 0) \quad (H = H_3) \quad F_i = (u_i = 0) \quad F = F_1$$

$$\begin{aligned} H &= \{x_0^2 - x_1 x_2 - g(u) x_3^2 = 0, x_3 = 0\} \\ &= \{x_0^2 - x_1 x_2 = 0, x_3 = 0\} \cong \mathbb{P}^1 \times \mathbb{P}^1 \end{aligned}$$

$$H_0 = \{-x_1 x_2 - g(u) x_3^2 = 0, x_0 = 0\} \cap H_3 + uF$$

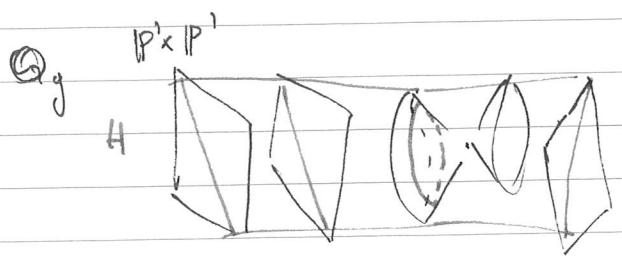
$$H_1 \sim H_2 \sim H_3 + uF$$

Ex  $H_2 = \alpha H_3 + \beta F$ , intersect w  $h$  and  $f$

$$\text{and use } H_2 \cdot h = 0 \quad (H_2 \cap h = \emptyset) \quad \text{and}$$

$$H_3 \cdot h = \mathcal{O}(1) \cdot h = -1$$

$$\star P = \mathbb{P}(\mathcal{O}(-n)^{\oplus 3} \oplus \mathcal{O})$$



$$Q_g \setminus (H_2 \cup F) \cong A^3 \text{ by}$$

$$(x:y:z) \mapsto [x: x^2 - g(1,z)y^2 : 1:y:z:1]$$

Then there is a short exact sequence.

$$0 \rightarrow \mathbb{Z}H_2 + \mathbb{Z}F \rightarrow \text{Pic}(Q_g) \rightarrow \mathcal{O}(A^3) \rightarrow 0$$

$\Rightarrow \text{Pic}$  is generated by  $H_2$  and  $F$   $\xrightarrow{\{0\}}$ .  
Also  $H \not\equiv F$  (intersect w curves in  $F$ ,  $F \cdot C = 0, H \cdot C \neq 0$ )  
so  $g(Q_g) = 2$ .

3) Canonical div of  $Q_g$ .

$$K_{P(O^{+3} \oplus O(1))} = :_P$$

$$\bar{H} = [O(1)] = [(x_3=0)] \quad , \quad P \text{ fiber of } \pi$$

$$K_P = -4\bar{H} - (n+2)P$$

$$Q_g \equiv 2\bar{H} + 2n P \text{ in } H^*(P) \quad (\text{bigdegree})$$

$$\begin{aligned} \text{Adjunction } K_{Q_g} &= (K_P + Q_g)|_{Q_g} = (-2\bar{H} + (n-2)P)|_{Q_g} \\ &= -2H + (n-2)F. \end{aligned}$$

Thus  $-K_{Q_g}|_F = 2H|_F$  certainly.

We proved that  $\pi: Q_g \rightarrow P^1$  is a MFS

### Automorphism gp of $Q_g$

\* There is an action of  $\text{PGL}(2)$  on  $Q_g$ .

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot [x_0 x_1 x_2 x_3; u_0 u_1 u_2] = [M \cdot \begin{pmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \end{pmatrix}; u_0 u_1]$$

$$M = \frac{1}{ad-bc} \begin{bmatrix} ad+bc & ac & b \\ 2ab & a^2 & b^2 \\ 2cd & c^2 & d^2 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 & ad-bc \end{bmatrix}$$

NB: trivial action on the base  
If  $g$  has  $\geq 3$  roots  $\text{Aut}(Q_g)$   
acts trivially on  $P^1$  (branch)  
Can prove if  $g$  has  $\geq 3$  roots  $\text{Aut}(Q_g) = \text{PGL}(2)$

\* There is a 2:1 covering  $G \xrightarrow{\pi} P^1$   
in local coordinates on  $A^1 \times A^1$   $G = \{(x,y) \mid y^2 = g(1,x)\}$   
 $\pi$  is the 1st projection  
We consider the behavior

$$\begin{array}{ccc} Q_g \times_{P^1} G & \longrightarrow & Q_g \\ \downarrow & & \downarrow \pi \\ G & \longrightarrow & P^1 \end{array}$$

Notice THAT  $g(F) = 2$   $F \cong P^1 \times P^1$  & the two generators of  $N_1(F)_P$  are num eq in  $Q_g$ .

{ general monodromy phenomenon  
only on num eq classes  
not on actual curves singpt

the morph  $\pi$  "unscrews" all these monodromy situations  
and  $Q_g \times_{P^1} G \dashrightarrow P^1 \times P^1 \times G$

$$\begin{bmatrix} x_0 x_1 x_2 x_3; u_0 u_1 u_2 \end{bmatrix} \mapsto \left[ \begin{bmatrix} x_0 + u_2 x_3 : x_2 ], [x_0 - u_2 x_3 : x_2 ] \right] \begin{bmatrix} u_0 : u_1 : u_2 \end{bmatrix}$$

The action of  $\mathrm{PGL}(2)$  on  $\mathbb{P}^1 \times \mathbb{P}^1 \times C$  is  
drag on  $\mathbb{P}^1 \times \mathbb{P}^1$   $g \in \mathrm{PGL}(2)$   $g([x], [y]) = (g[x], g[y])$

Also this can be seen in the following way: over the group  $\mathbb{P}^1$

$Q_g \otimes \mathbb{C}(\mathbb{P}^1)$  is a quadratic w coefficients in  $\mathbb{C}(\mathbb{P}^1)$

$$x_0^2 - x_1 x_2 - g x_3^2 = 0 \quad \text{coeff } 1, -1, -g.$$

in  $\mathbb{C}(C)$ ,  $g$  is a square, thus over  $\mathbb{C}(C)$  we

can set  $\bar{x}_3 = y x_3$  and

$$Q_g \otimes \mathbb{C}(C) = x_0^2 - x_1 x_2 - \bar{x}_3^2 \cong \mathbb{P}^1(\mathbb{C}(C)) \times \mathbb{P}^1(\mathbb{C}(C)).$$

Thus the behavior is intrinsic to  $Q_g$  and

Aut

Matrix of the inner form

$$\begin{aligned} N(Q_g)_{\mathbb{R}} &= \mathbb{R}H \oplus \mathbb{R}F \\ \overline{\mathrm{NE}(Q_g)} \text{ is a 2-dm cone} &\Rightarrow \text{no funny business} \\ f = HNF & \quad h = \{x_0 = x_1 = x_3 = 0\} \text{ section of } \pi \\ h \in H \cong \mathbb{P}^1 \times \mathbb{P}^1 & \text{ is one of the fibers, and the other} \\ [\mathbb{R}_+[f]] \text{ induces } \pi & \Rightarrow \text{it is an extremal ray} \end{aligned}$$

$$h \cdot H = h(H_2 - nF) = -n$$

$$h \cdot H_2 = 0 \quad (\text{on a fiber } [0010] \notin (x_2 = 0))$$

$$h \cdot F = 1$$

$\Rightarrow [\mathbb{R}_+[h]]$  is also extremal (Ex)

SOL  
UT  
ON | Indeed come  $h = C_1 + C_2$ , want to show  $[C_1], [C_2]$   
 $\in \mathbb{R}_+[h]$ .  $H \cdot h = H \cdot C_1 + H \cdot C_2$ .

You assume  $H \cdot C_1 \leq 0$  and  $H \cdot C_2 \geq 0$ .

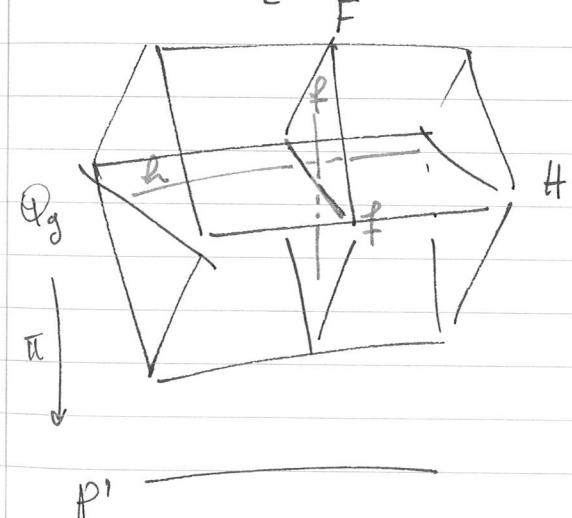
$$\Rightarrow C_1 \subseteq H \cong \mathbb{P}^1 \times \mathbb{P}^1 \quad C_1 = ah + bf \quad \text{and } f \cdot H = 1.$$

Then reflect  $C_1$  with  $ah$ , and  $C_2$  w  $C_2 + bf$ .

$$\Rightarrow \text{get } h = ah + C_2 \Rightarrow (1-a)h = C_2$$

$$C_2 \cdot H \geq 0 \Rightarrow 1-a \leq 0 \quad \text{but } a \neq 1 \Rightarrow a = 1 \\ C_2 = 0.$$

$$\text{MATRIX} \quad \begin{bmatrix} h \cdot H & f \cdot H \\ h \cdot F & f \cdot F \end{bmatrix} = \begin{bmatrix} -n & 1 \\ 1 & 0 \end{bmatrix}$$



for simplicity

### Orbits of the action

Assume  $g$  has  $\geq 3$  roots.

1)  $p \in \mathbb{P}^1$  s.t.  $g(p) \neq 0$ .

Inside  $\pi^{-1}(p) \cong (\mathbb{P}^1)^2$  we have  $\Gamma_p = \text{diag} = H \cap \pi^{-1}(p)$   
 $\pi^{-1}(p) \setminus \Gamma_p$

2)  $p$  s.t.  $g(p) = 0$   $\pi^{-1}(p)$  sing conic

Inside  $\pi^{-1}(p)$  there is

$g = [0001 \bar{u}_0 \bar{u}_1]$  sing pt

$\Gamma_p = \pi^{-1}(p) \cap H \cong \mathbb{P}^1$

$\pi^{-1}(p) = \Gamma_p \cup \{g\}$

We are ready to study the Sarkisov program for  $Q_g$ . We start w constructions of links

$g$  of deg  $2n$ ,  $n \geq 2$ , not a sq.  $w \geq 3$  roots.

h hem of deg 1

the bir map  $\gamma: Q_g \dashrightarrow Q_g$

$$[x_0 x_1 x_2 x_3; u_0 u_1] \mapsto [hx_0 : hx_1 : hx_2 : x_3; u_0 u_1]$$

fits into a Sarkisov link and

$$\text{Aut}^\circ(Q_g) = \text{Aut}^\circ(Q_{h^2g}) \quad (\gamma / \text{Aut}^\circ(Q_g) \circ \gamma^{-1} = \text{Aut}^\circ(Q_{h^2g}))$$

how:

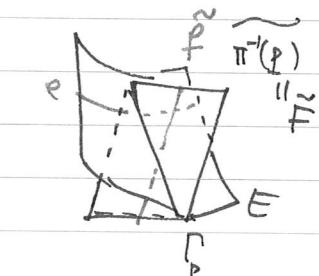
$p$  s.t.  $h(p) = 0$ ,  $\Gamma_p$  the 1-dim orbit in  $\pi^{-1}(p)$

$W = Bl_{\Gamma_p} Q_g$ . Assume  $\pi^{-1}(p)$  smooth.  $\Sigma: W \rightarrow Q_g$  b.n.

$$\text{Then } \overline{\text{NE}}(W/\mathbb{P}^1) = \{[C] \mid \pi^*\Sigma(C) = p\text{t}\}$$

$$= \mathbb{R}_+[e] + \mathbb{R}_+[\tilde{f}]$$

)  
flb of  $\Sigma$   
 $e \cong \mathbb{P}^1$   
one of the two  
rulings of  $\mathbb{P}^1 \times \mathbb{P}^1$



$$K_W \cdot e = -1 \quad K_W = \Sigma^* K_Q + E$$

$$K_W \cdot \tilde{f} = K_Q \cdot f + E \cdot \tilde{f} = -1$$

$\Rightarrow$  we can contract  $\mathbb{R}_+[\tilde{f}]$ .

NOTICE THAT THIS CREATES A SINGULARITY AS WE CONTRACT  $\tilde{F}$  TO A POINT.

$$\mathbb{P}^1 \times \mathbb{P}^1$$

$h^2g$  has  $\geq 3$  distinct roots  $\Rightarrow \text{Aut}^\circ(Q_{h^2g}) = \text{PGL}(2)$

Rk: Notice that with  $\gamma'$  we can clear all the multiple factors of  $g$ . and get to  $Q_g$  smooth.

What other possible links from  $Q_g$ ?

We have  $\overline{\text{NE}}(Q_g) = \mathbb{R}_+[\tilde{f}] + \mathbb{R}_+[h]$ .

The contraction of  $\mathbb{R}_+[\tilde{f}]$  gives  $\pi$ .

Compute  $K_{Q_g}[h] = (-2H + (n-2)F) \cdot h = -2(-n) + n-2 = 3n-2 > 0$   
not a nef ray.

It could induce the inverse of a flip

but  $U[h] = H$  a divisor  $\Rightarrow$  cannot be.  
 $h \in \mathcal{H}$

We cannot contract  $R_+[\mathcal{H}]$ .

Then in the Sarkisov link: Start w an "extractor"  
 (the inverse of a contraction)

If we bu  $\tilde{F}$ , we get  $\gamma$ .

If we bu  $q \in \pi^{-1}(P)$  singular

Assume for simplicity that  $Q_g$  is  
 smooth.  $W = Bl_q Q_g$ .  $\xi: W \rightarrow Q_g$

$$K_W = \xi^* K_{Q_g} + 2E$$

$e \in E \cong \mathbb{P}^2$   $\tilde{\ell} = \text{str transf of a ray by } \gamma$

↓ blow

$$\overline{NE}(W/\mathbb{P}^1) = R_+[e] + R_+[\tilde{\ell}]$$

↑  
 contr gives  
 $\varepsilon$

$$K_W \cdot \tilde{\ell} = K_{Q_g} \cdot \tilde{\ell} + 2 = 0. \Rightarrow \text{the ray is not k-ray}$$

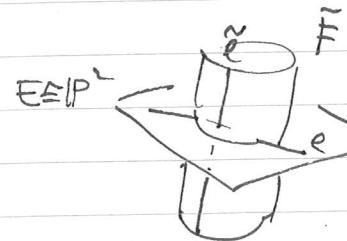
& defines a divisor

detail  $-2$   $\Rightarrow$  We cannot contract it  
 $\rightarrow$  the only nontriv Sarkisov links

are the  $P$ .

If  $g$  has no mult factors then  
 every Sarkisov program leads to  $Q_{g,e}$   
 and  $\text{Aut}^o(Q_{g,e}) = \text{PGL}(2)$

Conclusion  $\text{PGL}(2)$  max in  $\text{Bir}(\mathbb{P}^3)$



## LECTURE 4

This lecture will be a sneak peek of the higher dim' code. We want to study Mfs  $X \rightarrow \mathbb{P}^1$  w  $\dim X \geq 4$  when we know well the geom of the fibres.

AIM under certain hyp, we can perform "inverses" the bir transf that we know on fibre.

$X \rightarrow \mathbb{P}^1$  s.t.  $\dim X \geq 4$ ,  $F$  general fib.

info on  $F \rightsquigarrow$  info on the bir geom of  $X$

(our main tool is the following result)

Thm(Blow, F) Let  $\pi: X \rightarrow \mathbb{P}^1$  be a Mfs s.t. the general fib.  $F$  has  $\rho(F) \geq 2$ .

Then there is  $Z \subseteq X$  closed,  $\text{Aut}^o(X)$ -invariant

$$\pi(Z) = \mathbb{P}^1 \quad (\text{"horizontal"})$$

[How to prove the result]

\* [Graber-Harris-Stan]  $\pi: X \rightarrow \mathbb{P}^1$

$X$  RC has a section  $\varphi: \mathbb{P}^1 \rightarrow X$ .

s.t.  $\pi \circ \varphi = \text{id}$ . We set  $s = \varphi(\mathbb{P}^1)$ .

This  $Z$  will be the W  
 center of the 1st  
 blow up to start  
 the Sarkisov program

\* We consider  $K = \{-K_x \cdot s \mid s \text{ sectr}\}$ .

(1)  $K \neq \emptyset$  (2)  $K$  discrete and (3) bounded from below.

Proof (1) or  $\exists s$  sectr by [GHS]

(2) r Cartier index of  $k$  ( $r^{\#}$  sth rk Cartier)  
 then  $-K_x \cdot s \in \frac{1}{r} \mathbb{Z}$ .

(3) A ample Cartier s.t.  $-K_x + \pi^* A$  ample.

$$\Rightarrow r(-K_X + \pi^* A) \cdot s \geq 1.$$

$$\Rightarrow -K_X \cdot s \geq \frac{1}{r} - \pi^* A \cdot s = \frac{1}{r} - \text{deg } A$$

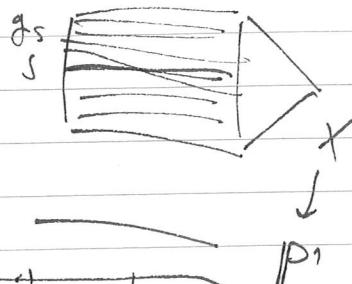
s section

\* Thus  $\exists s$  s.t.  $-K_X \cdot s$  minimal.

Our  $Z$  will be of the form.  $\text{Aut}^0(X) \cdot s$  w  
 $-K_X \cdot s$  minimal.

(What is  $\text{Aut}^0(X) \cdot s$ )

[Explain]



The key lemma is a b&b-type lemma  
b&b: def w 2 fixed pts, for us 1 fixed pt and  
a fibration

Prop.  $\pi: X \rightarrow P^1$  fibration w  $-K_X$  rel ample

$s$  section,  $x \in s$

Suppose there is  $\Gamma \subseteq \text{Aut}^0(X)$  lnsd s.t

$g(x) = x \quad \forall g \in \Gamma$

$g(s) \neq s$  for  $g \in \Gamma$  general.

Then  $s = s' + \gamma$  w  $s'$ ,  $\gamma$  int curves

$s'$  section

$\gamma \subseteq$  fibers of  $\pi$ .

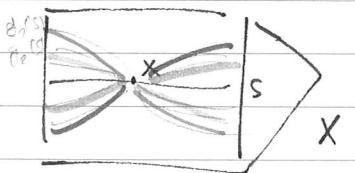
In particular,  $s$  is not minimal in the  
above sense.

proof

$g: P^1 \rightarrow s \subseteq X$ . Assume  $\Gamma$  normal (for simplicity,  
no big deal)

Let  $F: P^1 \times P \rightarrow X \times P$   
 $(P, g) \mapsto (g \cdot \varphi(P), g)$

on the 1st coord

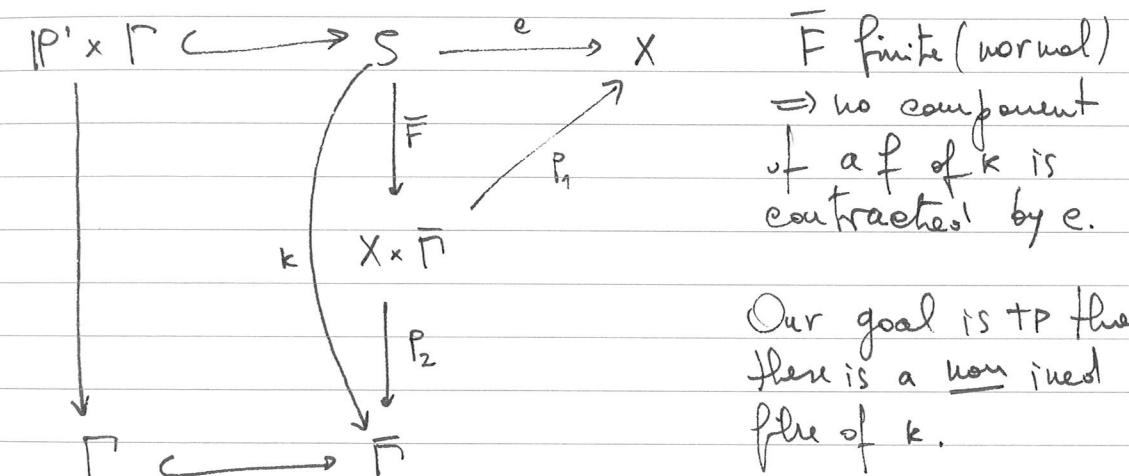


$F$  is finite, indeed  $\forall g \in \Gamma$

$F(P^1 \times \{g\})$  projects onto  $P^1$  by  
 $\pi_P$ .

Thus  $F(P^1 \times P)$  has dim 2.

Let  $\bar{\Gamma}$  be a smooth cpt of  $\Gamma$ ,  $S$  the normalization  
of  $F(P^1 \times P)$  inside  $X \times \bar{\Gamma}$ ,  $F: S \rightarrow X \times \bar{\Gamma}$



Our goal is to show that  
there is a non trivial  
fibration of  $K$ .

We set  $p_0 = \varphi(x)$ .

$\{p_0\} \times \Gamma$  is contracted to  $x$  by  $p_1 \circ F$ .

$\Gamma_0 = \{p_0\} \times \Gamma$  is closed in  $S$ . Then  $e(\Gamma_0) = \{x\}$ .

We have  $\tau: (K, \pi \circ e): S \rightarrow \bar{\Gamma} \times P^1$   
 $\tau$  is birational

If it is iron, then  $(\Gamma_0)^2 = 0$ , contradiction because  
 $\Gamma_0$  is contracted by  $e$  and  $\text{Im } e$  has dim 2

Thus there is  $y_0 \in \bar{\Gamma} \times \mathbb{P}^1$  w  $\pi'(y_0)$  a curve.

$$z_0 = p_1(y_0) \in \bar{\Gamma} \setminus \Gamma.$$

$\kappa^*(z_0)$  is not fixed and  $e_*(\kappa^*(z_0))$  is not either

Moreover  $e_* \kappa^* z_0 \equiv s$ .

"

$$C_1 + C_2$$

$$\text{We have } 1 = s \cdot F = C_1 \cdot F + C_2 \cdot F$$

fib  
of  $\pi$

Wlog  $C_1$  has an induced comp  $s'$  s.t.  $s' \cdot F = 1$   
and everything else is s.t.  $C_i \cdot F = 0$ .

$$\gamma \cdot F = 0 \Rightarrow \gamma \subseteq \text{fibres of } \pi.$$

$$\text{Thus } s(-K_X) = s'(-K_X) + \gamma(-K_X) > s'(-K_X)$$

$-K_X$  rel 0  
ample

and  $s$  not minimal  $\square$

Towards the thm.

$\pi: X \rightarrow \mathbb{P}^1$  w conn fibers

By Blanchard's Lemme there is  $H \subset \mathrm{PGL}(2)$  and

$$\{1\} \hookrightarrow \mathrm{Aut}^0(X)_{\mathbb{P}^1} \hookrightarrow \mathrm{Aut}^0(X) \xrightarrow{\alpha} H \rightarrow \{1\}$$

NOT ii

$\ker(\alpha)$  The aut of  $X$  that act  
fibrewise

Lemma  $\pi: X \rightarrow \mathbb{P}^1$  s.t.  $-K_X$  rel ample,  $F$  general fiber.  
Assume  $\mathrm{Aut}^0(X)_{\mathbb{P}^1}$  acts transitively on a gen  
fiber. Thus  $\exists \theta: V \times F \rightarrow \pi^{-1}V$  birat.

For  $\pi$  Mfs,  $p(F) \geq 2$ . The action of  $\mathrm{Aut}_{\mathbb{P}^1}$  on the  
gen fiber is not transitive. explain why  
fib is almost  
the fib  
proof of Lemma.

$s$  action w r.m.s  $-K_X \cdot s$ .

$$H = \{g \in \mathrm{Aut}^0(X)_{\mathbb{P}^1} \mid gs = s\}. \quad Y = \mathrm{Aut}^0(X)_{\mathbb{P}^1}/H. \quad \{s\} = F \cap s.$$

$\Phi: V \rightarrow F$  If the act is transitive,  
 $[g] \mapsto g(x).$   $\Phi$  is surjective.  
 $\Rightarrow \dim V \geq \dim F.$

$\dim V > \dim F \Leftrightarrow \exists \Gamma \subseteq \mathrm{Aut}^0(X)_{\mathbb{P}^1}$  s.t.  $gx = x$

$\forall g \in \Gamma \quad gs = s$  for  $g$  general.  $\Leftrightarrow$  w b&b Lem.

Thus  $\Phi$  gen finite, but  $F$  and  $V$  are homogeneous  
varieties  $\Rightarrow$  no exc locs and no ramification  
(they should be invariant by the act)

$\Phi: V \rightarrow F$  \'etale bw fms mfs.

$$\text{Thus } X(V) = \deg \Phi X(F) \Rightarrow \deg \Phi = 1.$$

$$\begin{matrix} & \nearrow & \searrow \\ \nearrow & & \searrow \\ & \downarrow & \end{matrix}$$

Kawanou  
Viehweg vanishing.

$\Phi$  isom and  $\exists U \subseteq \mathbb{P}^1. \quad \square$

## Applications in dim 4

$$F = (\mathbb{P}^1)^3 = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$$

$$N_*(\mathbb{P})_{\mathbb{R}} = \bigoplus \mathbb{R}[f_i], \quad \overline{NE}(F) = \overline{\mathbb{R}_+[f_1] + \mathbb{R}_+[f_2] + \mathbb{R}_+[f_3]}$$

$\pi: X \rightarrow \mathbb{P}^1$  w gen fib F.

$Z \subset X$   $\text{Aut}^\circ(X)$  invariant.

FACT  $[Z \cap \tilde{F}]$  (num eq class) is symmetric in  
 $N_1$  or  $N^1$ .

$Z \cap F$  is a closed orbit of a subgp of  $\text{Aut}^\circ((\mathbb{P}^1)^3)$ .

$\# F$

$\dim Z \cap F \in \{0, 1, 2\}$ .

$\dim Z \cap F = 2$  never happens (adj formula & comp)

$\triangleright \dim Z \cap F = 1 \quad \Sigma = Z \cap F \oplus$  the projectives

are equivariant  $p_i: \Sigma \rightarrow \mathbb{P}^1$  and non const ( $a > 0$ )

$\circledast [\Sigma]$  in  $\overline{NE}(F)$  is  $a([f_1] + [f_2] + [f_3])$   $a \in \mathbb{Z}_{>0}$ .

Thus  $\Sigma \cong \mathbb{P}^1$  because it is an orbit of a long gp.

If  $a \geq 2$ ,  $p_i|_\Sigma$  has deg  $a \geq 2 \Rightarrow$  ramified somewhere

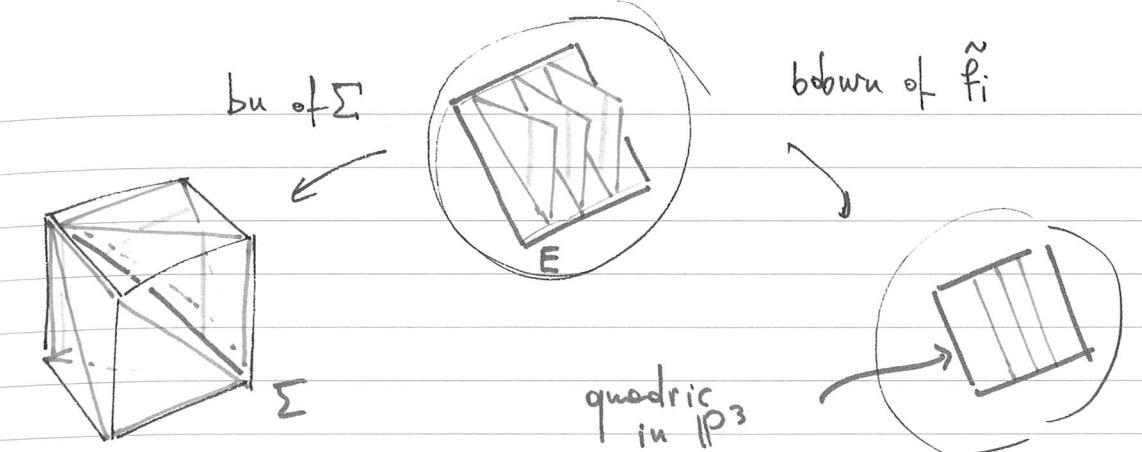
$\Rightarrow$  not equiv.

$\Rightarrow a=1$  and  $\Sigma$  is the div

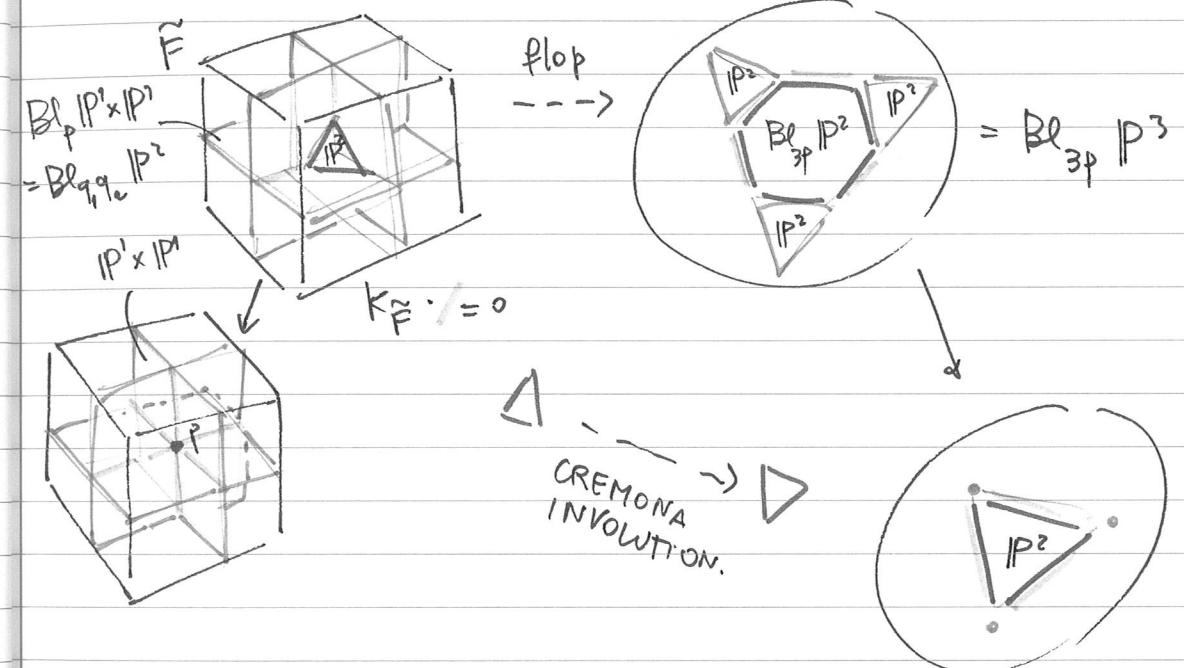
$f_i \in$  green div of 3 div  $(1,0,0)$  or  $(0,1,0)$  or  $(0,0,1)$

$\tilde{f}_i$  str transf.  $K_F \cdot \tilde{f}_i = \varepsilon^* K_F \cdot \tilde{f}_i + E = -2 + 1 = -1$

Can also prove that generates an extremal ray of  $NE$



$D_{\text{dim } Z \cap F = 0} + Z = \text{Aut}^\circ(X) \cdot s \Rightarrow Z = s$ .  $Z \cap F$  is 1 pt.



One has to prove then that those str transf can be performed in family  $\text{Aut}^\circ$  equiv. (Shokurov semiampleness)

If  $X \rightarrow \mathbb{P}^1$  has g fibre  $(\mathbb{P}^1)^3$  then

there is a str transf w  $\text{Aut}^\circ$  equiv rays

$X \dashrightarrow Y$

$\pi \downarrow \quad \gamma \downarrow$

$\mathbb{P}^1 \dashrightarrow \mathbb{P}^1$

{This form max subgroups we do not need to study g fibre  $(\mathbb{P}^1)^3$ , only g fibre  $\mathbb{P}^3, \mathbb{P}^2$  bldgs}

gen fibre  $\mathbb{P}^3$

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BOUTOT

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