# THE SYMMETRIC INVARIANTS OF CENTRALIZERS AND SLODOWY GRADING II 

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#### Abstract

Let $\mathfrak{g}$ be a finite-dimensional simple Lie algebra of rank $\ell$ over an algebraically closed field $\mathbb{k}$ of characteristic zero, and let $(e, h, f)$ be an $\mathfrak{s l}_{2}$-triple of $\mathfrak{g}$. Denote by $\mathfrak{g}^{e}$ the centralizer of $e$ in $\mathfrak{g}$ and by $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ the algebra of symmetric invariants of $\mathfrak{g}^{e}$. We say that $e$ is good if the nullvariety of some $\ell$ homogeneous elements of $S\left(g^{e}\right)^{g^{e}}$ in $\left(g^{e}\right)^{*}$ has codimension $\ell$. If $e$ is good then $S\left(g^{e}\right)^{g^{e}}$ is a polynomial algebra. In this paper, we prove that the converse of the main result of [CM16] is true. Namely, we prove that $e$ is good if and only if for some homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ of $S(\mathfrak{g})^{\mathfrak{g}}$, the initial homogeneous components of their restrictions to $e+\mathfrak{g}^{f}$ are algebraically independent over $\mathbb{k}$.


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## 1. Introduction

1.1. Let $\mathfrak{g}$ be a finite-dimensional simple Lie algebra of rank $\ell$ over an algebraically closed field $\mathbb{k}$ of characteristic zero, let $\langle.,$.$\rangle be the Killing form of \mathfrak{g}$ and let $G$ be the adjoint group of $\mathfrak{g}$. If $\mathfrak{a}$ is a subalgebra of $\mathfrak{g}$, we denote by $\mathrm{S}(\mathfrak{a})$ the symmetric algebra of $\mathfrak{a}$. For $x \in \mathfrak{g}$, we denote by $\mathfrak{g}^{x}$ the centralizer of $x$ in $\mathfrak{g}$ and by $G^{x}$ the stabilizer of $x$ in $G$. Then $\operatorname{Lie}\left(G^{x}\right)=\operatorname{Lie}\left(G_{0}^{x}\right)=\mathfrak{g}^{x}$ where $G_{0}^{x}$ is the identity component of $G^{x}$. Moreover, $\mathrm{S}\left(\mathrm{g}^{x}\right)$ is a $\mathrm{g}^{x}$-module and $\mathrm{S}\left(\mathrm{g}^{x}\right)^{\mathrm{g}^{x}}=\mathrm{S}\left(\mathrm{g}^{x}\right) G^{G_{0}^{x}}$.

In [CM16], we continued the works of [PPY07] and we studied the question on whether the algebra $\mathrm{S}\left(\mathfrak{g}^{x}\right)^{\mathfrak{g}^{2}}$ is polynomial in $\ell$ variables; see [Y07, CM10, JS10, Y16] for other references related to the topic.

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1.2. Let us first summarize the main results of [CM16].

Definition 1.1 ([CM16, Definition 1.3]). An element $x \in \mathfrak{g}$ is called a good element of $\mathfrak{g}$ if for some homogeneous sequence $\left(p_{1}, \ldots, p_{\ell}\right)$ in $S\left(\mathfrak{g}^{x}\right)^{\mathfrak{g}^{x}}$, the nullvariety of $p_{1}, \ldots, p_{\ell}$ in $\left(\mathfrak{g}^{x}\right)^{*}$ has codimension $\ell$ in $\left(\mathfrak{g}^{x}\right)^{*}$.

Thus an element $x \in \mathfrak{g}$ is good if the nullcone of $S\left(g^{x}\right)$, that is, the nullvariety in $\left(\mathfrak{g}^{x}\right)^{*}$ of the augmentation ideal $\mathrm{S}\left(\mathfrak{g}^{x}\right)_{+}^{\mathfrak{g}^{x}}$ of $\mathrm{S}\left(\mathfrak{g}^{x}\right)^{\mathrm{g}^{x}}$, is a complete intersection in $\left(\mathrm{g}^{x}\right)^{*}$ since the transcendence degree over $\mathbb{k}$ of the fraction field of $S\left(g^{x}\right)^{\mathfrak{g}^{x}}$ is $\ell$ by the main result of [CM10].

For example, regular nilpotent elements are good; see the introduction of [CM16] for more details and other examples.

Theorem 1.2 ([CM16, Theorem 3.3]). Let $x$ be a good element of $\mathfrak{g}$. Then $\mathrm{S}\left(\mathfrak{g}^{x}\right)^{\mathrm{g}^{x}}$ is a polynomial algebra and $S\left(\mathfrak{g}^{x}\right)$ is a free extension of $S\left(\mathfrak{g}^{x}\right)^{\mathfrak{g}^{x}}$.

An element $x$ is good if and only if so is its nilpotent component in the Jordan decomposition [CM16, Proposition 3.5]. As a consequence, we can restrict the study to the case of nilpotent elements.

Let $e$ be a nilpotent element of $\mathfrak{g}$. By the Jacobson-Morosov Theorem, $e$ is embedded into an $\mathfrak{s l}_{2}$-triple $(e, h, f)$ of $\mathfrak{g}$. Identify $\mathfrak{g}$ with $\mathfrak{g}^{*}$, and $\mathfrak{g}^{f}$ with $\left(\mathfrak{g}^{e}\right)^{*}$, through the Killing isomorphism $\mathfrak{g} \rightarrow \mathfrak{g}^{*}, x \mapsto\langle x,$.$\rangle . Thus we have the following$ algebra isomorphisms: $\mathrm{S}(\mathfrak{g}) \simeq \mathbb{k}\left[\mathfrak{g}^{*}\right] \simeq \mathbb{k}[\mathfrak{g}]$ and $\mathrm{S}\left(\mathfrak{g}^{e}\right) \simeq \mathbb{k}\left[\left(\mathfrak{g}^{e}\right)^{*}\right] \simeq \mathbb{k}\left[\mathfrak{g}^{f}\right]$. Denote by $\mathcal{S}_{e}:=e+\mathfrak{g}^{f}$ the Slodowy slice associated with $e$, and let $T_{e}: \mathfrak{g} \rightarrow \mathfrak{g}, x \mapsto e+x$ be the translation map. It induces an isomorphism of affine varieties $\mathfrak{g}^{f} \simeq \mathcal{S}_{e}$, and the comorphism $T_{e}^{*}$ induces an isomorphism between the coordinate algebras $\mathbb{k}\left[\mathcal{S}_{e}\right]$ and $\mathbb{k}\left[\mathfrak{g}^{f}\right]$.

Let $p$ be a homogeneous element of $S(\mathfrak{g}) \simeq \mathbb{k}[\mathfrak{g}]$. Then its restriction to $\mathcal{S}_{e}$ is an element of $\mathbb{k}\left[\mathcal{S}_{e}\right] \simeq \mathbb{k}\left[\mathfrak{g}^{f}\right] \simeq \mathrm{S}\left(\mathfrak{g}^{e}\right)$ through the above isomorphisms. For $p$ in $\mathrm{S}(\mathfrak{g})$, we denote by $\kappa(p)$ its restriction to $\mathcal{S}_{e}$ so that $\kappa(p) \in \mathrm{S}\left(\mathfrak{g}^{e}\right)$. Denote by ${ }^{e} p$ the initial homogeneous component of $\kappa(p)$. According to [PPY07, Proposition 0.1], if $p$ is in $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, then ${ }^{e} p$ is in $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$.

Theorem 1.3 ([CM16, Theorem 1.5]). Suppose that for some homogeneous generators $q_{1}, \ldots, q_{\ell}$ of $S(\mathfrak{g})^{\mathfrak{g}}$, the polynomial functions ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$. Then e is a good element of $\mathfrak{g}$. In particular, $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ is a polynomial algebra and $\mathrm{S}\left(\mathfrak{g}^{e}\right)$ is a free extension of $\mathrm{S}\left(\mathrm{g}^{e}\right)^{\mathrm{g}^{e}}$. Moreover, ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ is a regular sequence in $\mathrm{S}\left(\mathfrak{g}^{e}\right)$.

In other words, Theorem 1.3 provides a sufficient condition for that $S\left(g^{e}\right)^{g^{e}}$ is polynomial. By [PPY07], one knows that for homogeneous elements $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the polynomial functions ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent if and
only if

$$
\begin{equation*}
\sum_{i=1}^{\ell} \operatorname{deg}^{e} q_{i}=\frac{\operatorname{dim} g^{e}+\ell}{2} \tag{1}
\end{equation*}
$$

So we have a practical criterion to verify the sufficient condition of Theorem 1.3. However, even if the condition of Theorem 1.3 holds, that is, if (1) holds, $\mathrm{S}\left(\mathrm{g}^{e}\right)^{g^{e}}$ is not necessarily generated by the polynomial functions ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$. As a matter of fact, there are nilpotent elements $e$ satisfying this condition and for which $\mathrm{S}\left(\mathrm{g}^{e}\right)^{\mathrm{g}^{e}}$ is not generated by some ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$, for any choice of homogeneous generators $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathrm{g})^{\mathfrak{g}}$ (cf. [CM16, Remark 2.25]).

Theorem 1.3 can be applied to a great number of nilpotent orbits in the simple classical Lie algebras, and for some nilpotent orbits in the exceptional Lie algebras, see [CM16, Sections 5 and 6]. We also provided in [CM16, Example 7.8] an example of a nilpotent element $e$ for which $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{g^{e}}$ is not polynomial, with $\mathfrak{g}$ of type $\mathrm{D}_{7}$.
1.3. In this note, we prove that the converse of Theorem 1.3 also holds. Namely, our main result is the following theorem.

Theorem 1.4. The nilpotent element e of $\mathfrak{g}$ is good if and only if for some homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the elements ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$.

Theorem 1.4 was conjectured in [CM16, Conjecture 7.11]. Notice that it may happen that for some $r_{1}, \ldots, r_{\ell}$ in $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the elements ${ }^{e} r_{1}, \ldots,{ }^{e} r_{\ell}$ are algebraically independent over $\mathbb{k}$, and that however $e$ is not good. This is the case for instance for the nilpotent elements in $\mathfrak{s o}\left(\mathbb{k}^{12}\right)$ associated with the partition (5,3,2,2), cf. [CM16, Example 7.6]. In fact, according to [PPY07, Corollary 2.3], for any nilpotent element $e$ of $\mathfrak{g}$, there exist $r_{1}, \ldots, r_{\ell}$ in $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$ such that ${ }^{e} r_{1}, \ldots,{ }^{e} r_{\ell}$ are algebraically independent over $\mathbb{k}$. So the assumption that $q_{1}, \ldots, q_{\ell}$ generate $\mathrm{S}(\mathfrak{g})^{9}$ is crucial.
1.4. We introduce in this subsection the main notations of the paper and we outline our strategy to prove Theorem 1.4.

First of all, recall that $\mathfrak{g}^{f}$ identifies with the dual of $\mathfrak{g}^{e}$ through the Killing isomorphism so that $\mathrm{S}\left(\mathrm{g}^{e}\right)$ is the algebra $\mathbb{K}\left[\mathrm{g}^{f}\right]$ of polynomial functions on $\mathfrak{g}^{f}$, and that $\mathbb{k}\left[\mathrm{g}^{f}\right]$ identifies with the coordinate algebra of the Slodowy slice $S_{e}=e+\mathrm{g}^{f}$.

Let $x_{1}, \ldots, x_{r}$ be a basis of $g^{e}$ such that for $i=1, \ldots, r,\left[h, x_{i}\right]=n_{i} x_{i}$ with $n_{i}$ a nonnegative integer. For $\mathbf{j}=\left(j_{1}, \ldots, j_{r}\right)$ in $\mathbb{N}^{r}$, set:

$$
|\mathbf{j}|:=j_{1}+\cdots+j_{r}, \quad|\mathbf{j}|_{e}:=j_{1}\left(n_{1}+2\right)+\cdots+j_{r}\left(n_{r}+2\right), \quad x^{\mathbf{j}}:=x_{1}^{j_{1}} \cdots x_{r}^{j_{r}} .
$$

There are two gradings on $\mathrm{S}\left(\mathrm{g}^{e}\right)$ : the standard one and the Slodowy grading. For all $\mathbf{j}$ in $\mathbb{N}^{r}, x^{\mathbf{j}}$ has standard degree $|\mathbf{j}|$ and, by definition, it has Slodowy degree $|\mathbf{j}| e$. Denoting by $t \mapsto \rho(t)$ the one-parameter subgroup of $G$ generated by ad $h$, the

Slodowy slice $e+\mathrm{g}^{f}$ is invariant under the one-parameter subgroup $t \mapsto t^{-2} \rho(t)$ of $G$. Hence the one-parameter subgroup $t \mapsto t^{-2} \rho(t)$ induces an action on $\mathbb{k}\left[\mathcal{S}_{e}\right]$. Let $j \in\{1, \ldots, r\}, y$ in $\mathfrak{g}^{f}$ and $t$ in $\mathbb{k}^{*}$. Viewing the element $x_{j}$ of $\mathfrak{g}^{e} \subset \mathrm{~S}\left(\mathfrak{g}^{e}\right)$ as an element $\mathbb{k}\left[\mathcal{S}_{e}\right]$, we have:

$$
x_{j}\left(t^{-2} \rho(t)(e+y)\right)=x_{j}\left(e+t^{-2} \rho(t)(y)\right)=t^{-2} \rho\left(t^{-1}\right)\left(x_{j}\right)(e+y)=t^{-2-n_{j}} x_{j}(e+y),
$$

whence for all $\mathbf{j}$ in $\mathbb{N}^{r}$ and for all $y$ in $\mathrm{g}^{f}$,

$$
x^{\mathbf{j}}\left(t^{-2} \rho(t)(e+y)\right)=t^{-|\vec{j}|} x^{\mathbf{j}}(e+y)
$$

This means that $x^{\mathbf{j}}$, as a regular function on $\mathcal{S}_{e}$, is homogeneous of degree $|\mathbf{j}|_{e}$ for the Slodowy grading.

Let $t$ be an indeterminate and let $R$ be the polynomial algebra $\mathbb{k}[t]$. The polynomial algebra

$$
\mathrm{S}\left(\mathrm{~g}^{e}\right)[t]:=\mathbb{k}[t] \otimes_{\mathbb{k}} \mathrm{S}\left(\mathrm{~g}^{e}\right)
$$

identifies with the algebra of polynomial functions on $\mathfrak{g}^{f} \times \mathbb{k}$. The grading of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$ induces a grading of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ such that $t$ has degree 0 . Denote by $\varepsilon$ the evaluation map at $t=0$ so that $\varepsilon$ is a graded morphism from $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ onto $\mathrm{S}\left(\mathrm{g}^{e}\right)$. Let $\tau$ be the embedding of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$ into $\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]$ such that $\tau\left(x_{i}\right):=t x_{i}$ for $i=1, \ldots, r$.

Recall that for $p$ in $\mathrm{S}(\mathrm{g}), \kappa(p)$ denotes the restriction to $\mathcal{S}_{e}$ of $p$ so that $\kappa(p) \in$ $\mathrm{S}\left(\mathrm{g}^{e}\right)$. Denote by $A$ the intersection of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ with the sub- $\mathbb{k}\left[t, t^{-1}\right]$-module of

$$
\mathrm{S}\left(\mathfrak{g}^{e}\right)\left[t, t^{-1}\right]:=\mathbb{k}\left[t, t^{-1}\right] \otimes_{\mathbb{k}} \mathrm{S}\left(\mathfrak{g}^{e}\right)
$$

generated by $\tau \circ \kappa\left(\mathrm{S}(\mathrm{g})^{g}\right)$, and let $A_{+}$be its augmentation ideal. Let $\mathcal{V}$ be the nullvariety of $A_{+}$in $\mathfrak{g}^{f} \times \mathbb{k}$ and $\mathcal{V}_{*}$ the union of the irreducible components of $\mathcal{V}$ which are not contained in $\mathfrak{g}^{f} \times\{0\}$. Let $\mathcal{N}$ be the nullvariety of $\varepsilon(A)_{+}$in $\mathfrak{g}^{f}$, with $\varepsilon(A)_{+}$ the augmentation ideal of $\varepsilon(A)$. Then $\mathcal{V}$ is the union of $\mathcal{V}_{*}$ and $\mathcal{N} \times\{0\}$.

The properties of the varieties $\mathcal{v}$ and $\mathcal{V}_{*}$ allow us to prove the following result.
Theorem 1.5. Suppose that $\mathcal{N}$ has dimension $r-\ell$. Then for some homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the elements ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$.

The key point is to show that, under the hypothesis of Theorem $1.5, \varepsilon(A)$ is the subalgebra of $\mathrm{S}\left(\mathrm{g}^{e}\right)$ generated by the family ${ }^{e} p, p \in \mathrm{~S}(\mathfrak{g})^{\mathrm{g}}$, and hence that $\mathcal{N}$ coincides with the nullvariety in $\mathfrak{g}{ }^{f}$ of ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$. So, if $\mathcal{N}$ has dimension $r-\ell$, then the elements ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ must be algebraically independent over $\mathbb{k}$.

The remainder of the paper is organized as follows. In Section 2, we state useful results on commutative algebra of independent interest. Some of these results are probably well-known. Since we have not found appropriate references, proofs are provided. Moreover, we formulate them as they are used in the paper. We study in Section 3 properties of the varieties $\mathcal{V}$ and $\mathcal{V}_{*}$. The proof of Theorem 1.5 is achieved in Section 3. Theorem 1.4 is a consequence of Theorem 1.5, and it is proven in Section 4.

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## 2. Some results on commutative algebra

In this section $t$ is an indeterminate and the base ring $R$ is $\mathbb{k}, \mathbb{k}[t]$ or $\mathbb{k}[[t]]$. For $M$ a graded space over $\mathbb{N}$ and for $j$ in $\mathbb{N}$, denote by $M^{[j]}$ the space of degree $j$ and by $M_{+}$the sum of $M^{[j]}, j>0$. Let $A$ be a finitely generated graded $R$-algebra over $\mathbb{N}$ such that $A^{[0]}=R$ and such that $A^{[j]}$ is a free $R$-module of finite rank for any $j \in \mathbb{N}$. Moreover, $A$ is an integral domain. Denote by $\operatorname{dim} A$ the Krull dimension of $A$ and set ${ }^{1}$ :

$$
\ell:=\left\{\begin{array}{lll}
\operatorname{dim} A & \text { if } & R=\mathbb{k} \\
\operatorname{dim} A-1 & \text { if } & R=\mathbb{k}[t] \text { or } \mathbb{k}[t]] .
\end{array}\right.
$$

As a rule, for $B$ an integral domain, we denote by $K(B)$ its fraction field.
The one-dimensional multiplicative group of $\mathbb{k}$ is denoted by $\mathrm{G}_{\mathrm{m}}$.
2.1. Let $B$ be a graded subalgebra of $A$.

Lemma 2.1. (i) Let $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$ be pairwise different graded prime ideals contained in $A_{+}$. If they are the minimal prime ideals containing their intersection, then for some homogeneous element $p$ of $A_{+}$, the element $p$ is not in the union of $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$.
(ii) For some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $A_{+}, A_{+}$is the radical of the ideal generated by $p_{1}, \ldots, p_{\ell}$.
(iii) Suppose that $A_{+}$is the radical of $A B_{+}$. Then for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $B_{+}, A_{+}$is the radical of the ideal generated by $p_{1}, \ldots, p_{\ell}$.

Proof. (i) Prove by induction on $j$ that for some homogeneous element $p_{j}$ of $A_{+}$, $p_{j}$ is not in the union of $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{j}$. Since $\mathfrak{p}_{1}$ is a graded ideal strictly contained in $A_{+}$, it is true for $j=1$. Suppose that it is true for $j-1$. If $p_{j-1}$ is not in $\mathfrak{p}_{j}$, there is nothing to prove. Suppose that $p_{j-1}$ is in $\mathfrak{p}_{j}$. According to the hypothesis, $\mathfrak{p}_{j}$ is stricly contained in $A_{+}$and it does not contain the intersection of $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{j-1}$. So, since $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{j}$ are graded ideals, for some homogeneous sequence $r, q$ in $A_{+}$,

$$
r \in \bigcap_{k=1}^{j-1} \mathfrak{p}_{k} \backslash \mathfrak{p}_{j}, \quad \text { and } \quad q \in A_{+} \backslash \mathfrak{p}_{j} .
$$

Denoting by $m$ and $n$ the respective degrees of $p_{j-1}$ and $r q, p_{j-1}^{n}+(r q)^{m}$ is homogeneous of degree $m n$ and it is not in $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{j}$ since these ideals are prime.

[^0](ii) Prove by induction on $i$ that for some homogeneous sequence $p_{1}, \ldots, p_{i}$ in $A_{+}$, the minimal prime ideals of $A$ containing $p_{1}, \ldots, p_{i}$ have height $i$. Let $p_{1}$ be in $A_{+} \backslash\{0\}$. By [Ma86, Ch. 5, Theorem 13.5], all minimal prime ideal containing $p_{1}$ has height 1 . Suppose that it is true for $i-1$. Let $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$ be the minimal prime ideals containing $p_{1}, \ldots, p_{i-1}$. Since $A_{+}$has height $\ell>i-1, A_{+}$strictly contains $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$. By (i), there exists a homogeneous element $p_{i}$ in $A_{+}$not in the union of $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$. Then, by [Ma86, Ch. 5, Theorem 13.5], the minimal prime ideals containing $p_{1}, \ldots, p_{i}$ have height $i$. For $i=\ell$, the minimal prime ideals containing $p_{1}, \ldots, p_{\ell}$ have height $\ell$. Hence they are equal to $A_{+}$since $A_{+}$is a prime ideal of height $\ell$ containing $p_{1}, \ldots, p_{\ell}$, whence the assertion.
(iii) The ideal $A B_{+}$is generated by a homogeneous sequence $a_{1}, \ldots, a_{m}$ in $B_{+}$. Denote by $B^{\prime}$ the subalgebra of $A$ generated by $a_{1}, \ldots, a_{m}$. Then $B^{\prime}$ is a finitely generated graded subalgbera of $A$ such that $A_{+}$is the radical of $A B_{+}^{\prime}$. If $R=\mathbb{k}$, denote by $d$ its dimension and if $t \in R$, denote by $d+1$ its dimension. By (ii), for some homogeneous sequence $p_{1}, \ldots, p_{d}$ in $B_{+}^{\prime}, B_{+}^{\prime}$ is the radical of the ideal generated by $p_{1}, \ldots, p_{d}$. Then $A_{+}$is the radical of the ideal of $A$ generated by $p_{1}, \ldots, p_{d}$. Since $A_{+}$has height $\ell, \ell \leqslant d$ by [Ma86, Ch. 5, Theorem 3.5]. Since $B^{\prime}$ is a subalgebra of $A$, its dimension is at most $\operatorname{dim} A$. Hence $d=\ell$.

Proposition 2.2. Suppose that $A_{+}$is the radical of $A B_{+}$. Then $B$ is finitely generated and $A$ is a finite extension of $B$.

Proof. Since $A$ is a noetherian ring, for some homogeneous sequence $a_{1}, \ldots, a_{m}$ in $B_{+}, A B_{+}$is the ideal generated by this sequence. Denote by $C$ the $R$-subalgebra of $A$ generated by $a_{1}, \ldots, a_{m}$. Then $C$ is a graded subalgebra of $A$. Denote by $\pi$ the morphism

$$
\operatorname{Specm}(A) \xrightarrow{\pi} \operatorname{Specm}(C)
$$

whose comorphism is the canonical injection $C \hookrightarrow A$. Let $\bar{A}$ and $\bar{C}$ be the respective integral closures of $A$ and $C$ in $K(A)$. Since $C$ is contained in $A, \bar{C}$ is contained in $\bar{A}$. Let $\alpha$ and $\beta$ be the morphisms

$$
\operatorname{Specm}(\bar{A}) \xrightarrow{\alpha} \operatorname{Specm}(A) \quad \text { and } \quad \operatorname{Specm}(\bar{C}) \xrightarrow{\beta} \operatorname{Specm}(C)
$$

whose comorphisms are the canonical injections $A \hookrightarrow \bar{A}$ and $C \hookrightarrow \bar{C}$ respectively. Then there is a commutative diagram

with $\bar{\pi}$ the morphism whose comorphism is the canonical injection $\bar{C} \rightarrow \bar{A}$.

The action of $\mathrm{G}_{\mathrm{m}}$ in $A$ extends to an action of $K(A)$, and $\bar{A}$ is invariant under this action. Denoting by $\bar{R}$ the integral closure of $R$ in $K(A), \bar{R}$ is the set of fixed points under the action of $\mathrm{G}_{\mathrm{m}}$ in $\bar{A}$. Since $C$ is invariant under $\mathrm{G}_{\mathrm{m}}$ so is $\bar{C}$. For m a maximal ideal of $\bar{R}$, the ideal $\mathfrak{m}+\bar{C}_{+}$is the maximal ideal of $\bar{C}$ containing $\mathfrak{m}$ and invariant under $\mathrm{G}_{\mathrm{m}}$. Then, for $\mathfrak{p}$ a maximal ideal of $\bar{C}, \mathfrak{p} \cap \bar{R}+\bar{C}_{+}$is in the closure of the orbit of $\mathfrak{p}$ under $G_{m}$. Moreover,

$$
\left\{\mathfrak{m}+\bar{A}_{+}\right\}=\bar{\pi}^{-1}\left\{\mathfrak{m}+\bar{C}_{+}\right\}
$$

for all maximal ideal $\mathfrak{m}$ of $\bar{R}$. Hence $\bar{\pi}$ is quasi finite. Moreover $\bar{\pi}$ is birational. Then, by Zariski's main theorem [Mu88], $\bar{\pi}$ is an open immersion. The image of $\bar{\pi}$ contains fixed points for the $G_{m}$-action, and the closure of each $G_{m}$-orbit contains fixed points. As a result, $\bar{\pi}$ is surjective since it is $\mathrm{G}_{\mathrm{m}}$-equivariant. Hence $\bar{\pi}$ is an isomorphism and $\bar{A}=\bar{C}$. As a result, $\bar{A}$ is a finite extension of $C$ since $\beta$ is a finite morphism. As submodules of the finite module $\bar{A}$ over the noetherian ring $C, A$ and $B$ are finite $C$-modules. Hence $A$ is a finite extension of $B$. Denoting by $\omega_{1}, \ldots, \omega_{d}$ a generating family of the $C$-module $B, B$ is the subalgebra of $A$ generated by $a_{1}, \ldots, a_{m}, \omega_{1}, \ldots, \omega_{d}$.

Denote by $\mathbb{k}[t]_{*}$ the localization of $\mathbb{k}[t]$ at the prime ideal $t \mathbb{k}[t]$ and set:

$$
R_{*}:=\left\{\begin{array}{lll}
\mathbb{k} & \text { if } & R=\mathbb{k} \\
\mathbb{k}[t]_{*} & \text { if } & R=\mathbb{k}[t] \\
\mathbb{k}[[t]] & \text { if } & R=\mathbb{k}[[t]]
\end{array} \quad \widehat{R}:=\left\{\begin{array}{lll}
\mathbb{k} & \text { if } & R=\mathbb{k} \\
\mathbb{K}[[t]] & \text { if } & R=\mathbb{k}[t] \\
\mathbb{k}[[t]] & \text { if } & R=\mathbb{k}[[t]] .
\end{array}\right.\right.
$$

For $M$ a $R$-module, set $\widehat{M}:=\widehat{R} \otimes_{R} M$.
Lemma 2.3. Suppose $R=\mathbb{k}[t]$. Let $M$ be a torsion free $R$-module and let $N$ be $a$ submodule of $M$. Then for a in $\widehat{N} \cap M$, ra is in $N$ for some $r$ in $R$ such that $r(0) \neq 0$.

Proof. Since $M$ is torsion free, the canonical map $M \rightarrow \widehat{M}$ is an embedding. Moreover, the canonical map $\widehat{N} \rightarrow \widehat{M}$ is an embedding since $\widehat{R}$ is flat over $R$. Let $a$ be in $\widehat{N} \cap M$ and let $\bar{a}$ be its image in $M / N$ by the quotient map. Denote by $J_{a}$ the annihilator of $\bar{a}$ in $R$, whence a commutative diagram

with exact lines and columns. Since $\widehat{R}$ is a flat extension of $R$, tensoring this diagram by $R$ gives the following diagram with exact lines and columns:


For $b$ in $\widehat{R},(\delta \circ \mathrm{~d}) b=(\mathrm{d} \circ \delta) b=0$ since $a$ is in $\widehat{N}$, whence $\mathrm{d} b=0$. As a result, $\widehat{R} J_{a}=\widehat{R}$. Hence $J_{a}$ contains an element $r$, invertible in $\widehat{R}$, that is $r(0) \neq 0$, whence the lemma.

Set

$$
A_{*}:=R_{*} \otimes_{R} A \quad \text { and } \quad \widehat{A}:=\widehat{R} \otimes_{R} A .
$$

Since $A^{[0]}=R$, the grading on $A$ extends to gradings on $A_{*}$ and $\widehat{A}$ such that $A_{*}^{[0]}=$ $R_{*}$ and $\widehat{A^{[0]}}=\widehat{R}$. When $R=\mathbb{k}$ or $R=\mathbb{k}[[t]], A_{*}=A$ and $\widehat{A}=A$.

For $p_{1}, \ldots, p_{\ell}$ a homogeneous sequence in $A_{+}$set:

$$
\underline{p}:=\left\{\begin{array}{lll}
p_{1}, \ldots, p_{\ell} & \text { if } & R=\mathbb{k} \\
t, p_{1}, \ldots, p_{\ell} & \text { if } & R=\mathbb{k}[[t]],
\end{array}\right.
$$

and denote by $J_{\underline{p}}$ the ideal of $A$ generated by the sequence $\underline{p}$.
Lemma 2.4. Suppose that $A$ is Cohen-Macaulay. Let $p_{1}, \ldots, p_{\ell}$ be a homogeneous sequence in $A_{+}$such that $A_{+}$is the radical of the ideal of A generated by $p_{1}, \ldots, p_{\ell}$ and let $V$ be a graded complement in $A$ to the $\mathbb{k}$-subspace $J_{p}$.
(i) The space $V$ has finite dimension.
(ii) The space $A_{*}$ is equal to $V R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$.
(iii) The algebra $A$ is a flat extension of $R\left[p_{1}, \ldots, p_{\ell}\right]$.
(iv) For all homogeneous elements $a_{1}, \ldots, a_{n}$ in $A$, linearly independent over $\mathbb{k}$ modulo $J_{\underline{p}}, a_{1}, \ldots, a_{n}$ are linearly independent over $R\left[p_{1}, \ldots, p_{\ell}\right]$.
(v) The linear map

$$
V \otimes_{\underline{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow A_{*}, \quad v \otimes a \longmapsto v a
$$

is an isomorphism.
Proof. According to Lemma 2.1(ii), the sequence $p$ does exist.
(i) Let $J_{p}$ be the ideal of $A$ generated by $p_{1}, \ldots, p_{\ell}$. Since $A_{+}$is the radical of $J_{p}, A^{[d]}=J_{p}^{[d]}$ for $d$ sufficiently big. When $t \in R$, for all $d$, then $t A^{[d]}$ has finite codimension in $A^{[d]}$ since $A^{[d]}$ is a finite free $R$-module. Hence $J_{\underline{p}}$ has finite codimension in $A$ so that $V$ has finite dimension.
(ii) Suppose that $t$ is in $R$. First of all, we prove by induction on $d$ the inclusion

$$
A^{[d]} \subset\left(V R\left[p_{1}, \ldots, p_{\ell}\right]\right)^{[d]}+t A^{[d]}
$$

Since $A^{[0]}$ is the direct sum of $V^{[0]}$ and $J_{\underline{p}}^{[0]}, V^{[0]}$ is contained in $\mathbb{k}+t R$, whence the inclusion for $d=0$. Suppose that it is true for all $j$ smaller than $d$. Since $p_{1}, \ldots, p_{\ell}$ have positive degrees, by induction hypothesis,

$$
J_{\underline{p}}^{[d]} \subset\left(V R\left[p_{1}, \ldots, p_{\ell}\right]\right)^{[d]}+t A^{[d]}
$$

whence the inclusion for $d$. Then, by induction on $m$,

$$
A^{[d]} \subset\left(V R\left[p_{1}, \ldots, p_{\ell}\right]\right)^{[d]}+t^{m} A^{[d]}
$$

As a result, since $A^{[d]}$ is a finite $R$-module,

$$
A^{[d]} \subset\left(V \widehat{R}\left[p_{1}, \ldots, p_{\ell}\right]\right)^{[d]}
$$

whence $\widehat{A}=V \widehat{R}\left[p_{1}, \ldots, p_{\ell}\right]$. This equality remains true when $R=\mathbb{k}$ by an analogous and simpler argument.

When $R=\mathbb{k}[t]$, according to Lemma 2.3, for $a$ in $A$, $r a$ is in $V R\left[p_{1}, \ldots, p_{\ell}\right]$ for some $r$ in $R$ such that $r(0) \neq 0$. As a result, $A_{*}=V R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$.
(iii) By Proposition 2.2, $A$ is a finite extension of $R\left[p_{1}, \ldots, p_{\ell}\right]$. In particular, $R\left[p_{1}, \ldots, p_{\ell}\right]$ has dimension $\ell+\operatorname{dim} R$ so that $p_{1}, \ldots, p_{\ell}$ are algebraically independent over $R$. Hence $R\left[p_{1}, \ldots, p_{\ell}\right]$ is a regular algebra, whence the assertion by [Ma86, Ch. 8, Theorem 23.1].
(iv) Prove the assertion by induction on $n$. Since $A$ is an integral domain, the assertion is true for $n=1$. Suppose the assertion true for $n-1$. Let $\left(b_{1}, \ldots, b_{n}\right)$ be a homogeneous sequence in $R\left[p_{1}, \ldots, p_{\ell}\right]$ such that

$$
b_{1} a_{1}+\cdots+b_{n} a_{n}=0
$$

Let $K$ and $I$ be the kernel and the image of the linear map

$$
R\left[p_{1}, \ldots, p_{\ell}\right]^{n} \longrightarrow R\left[p_{1}, \ldots, p_{\ell}\right], \quad\left(c_{1}, \ldots, c_{n}\right) \longmapsto c_{1} b_{1}+\cdots+c_{n} b_{n}
$$

whence the short exact sequence of $R\left[p_{1}, \ldots, p_{\ell}\right]$ modules

$$
0 \longrightarrow K \longrightarrow R\left[p_{1}, \ldots, p_{\ell}\right]^{n} \longrightarrow I \longrightarrow 0
$$

The grading of $R\left[p_{1}, \ldots, p_{\ell}\right]$ induces a grading of $R\left[p_{1}, \ldots, p_{\ell}\right]^{n}$ and $K$ is a graded submodule of $R\left[p_{1}, \ldots, p_{\ell}\right]^{n}$ since $b_{1}, \ldots, b_{n}$ is a homogeneous sequence in $R\left[p_{1}, \ldots, p_{\ell}\right]$. Denote by $y_{1}, \ldots, y_{m}$ a generating homogeneous sequence of the $R\left[p_{1}, \ldots, p_{\ell}\right]$ module $K$. By (iii), the short sequence of $A$-modules

$$
0 \longrightarrow A \otimes_{R\left[p_{1}, \ldots, p_{\ell}\right]} K \longrightarrow A^{n} \longrightarrow A \otimes_{R\left[p_{1}, \ldots, p_{\ell}\right]} I \longrightarrow 0
$$

is exact. So, for some homogeneous sequence $x_{1}, \ldots, x_{m}$ in $A$,

$$
a_{i}=\sum_{j=1}^{m} x_{j} y_{j, i}
$$

for $i=1, \ldots, n$. Since $a_{n}$ is not in $J_{\underline{p}}$, for some $j_{*}$, the element $y_{j_{*}, i}$ is an invertible element of $R_{*}$, whence

$$
b_{n} y_{j_{*}, n}=-\sum_{i=1}^{n-1} b_{i} y_{j_{*}, i} \quad \text { and } \quad \sum_{i=1}^{n-1} b_{i}\left(y_{j_{*}, n} a_{i}-a_{n} y_{j_{*}, i}\right)=0
$$

So, by induction hypothesis,

$$
b_{1}=\cdots=b_{n-1}=0
$$

since the elements

$$
y_{j_{*}, n} a_{1}-a_{n} y_{j_{*}, 1}, \ldots, y_{j_{*}, n} a_{n-1}-a_{n} y_{j_{*}, n-1}
$$

are linearly independent over $\mathbb{k}$ modulo $J_{\underline{p}}$. Then $b_{n}=0$ since $y_{j_{\star}, n}$ is invertible.
(v) Let $\left(v_{1}, \ldots, v_{n}\right)$ be a homogeneous basis of $V$. Since the space of relations of linear dependence over $R\left[p_{1}, \ldots, p_{\ell}\right]$ of $v_{1}, \ldots, v_{n}$ is graded, it is equal to $\{0\}$ by (iv), whence the assertion by (ii).

Corollary 2.5. (i) The algebra $A_{*}$ is Cohen-Macaulay if and only if for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $A_{+}$, the algebra $A_{*}$ is a finite free extension of $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$.
(ii) Suppose that $A_{*}$ is Cohen-Macaulay. For a homogeneous sequence $q_{1}, \ldots, q_{\ell}$ in $A_{+}, A_{*}$ is a finite free extension of $R_{*}\left[q_{1}, \ldots, q_{\ell}\right]$ if and only if $R_{*} A_{+}$is the radical of the ideal of $A_{*}$ generated by $q_{1}, \ldots, q_{\ell}$.

Proof. (i) The "only if" part results from Lemma 2.4(v). Suppose that for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $A_{+}$, the algebra $A_{*}$ is a finite free extension of $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$. In particular, $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$ is a polynomial algebra over $R_{*}$ since $A_{*}$ has dimension $\operatorname{dim} A$. Let $\mathfrak{p}$ be a prime ideal of $A_{*}$ and let $\mathfrak{q}$ be its intersection with $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$. Denote by $A_{p}$ and $R\left[p_{1}, \ldots, p_{\ell}\right]_{q}$ the localizations of $A_{*}$ and $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$ at $\mathfrak{p}$ and $\mathfrak{q}$ respectively. Since $A_{*}$ is a finite extension of $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$, these local rings have the same dimension. Denote by $d$ this dimension. By flatness, any regular sequence $a_{1}, \ldots, a_{d}$ in $R\left[p_{1}, \ldots, p_{\ell}\right]_{q}$ is regular in $A_{\mathfrak{p}}$ so that $A_{\mathfrak{p}}$ is Cohen-Macaulay. Hence $A_{*}$ is Cohen-Macaulay.
(ii) The "only if" part results from (i) and Proposition 2.2. Suppose that $A_{*}$ is a finite free extension of $R_{*}\left[q_{1}, \ldots, q_{\ell}\right]$. Let $\mathfrak{p}$ be a minimal prime ideal of $A_{*}$ containing $q_{1}, \ldots, q_{\ell}$ and let $\mathfrak{q}$ be its intersection with $R_{*}\left[q_{1}, \ldots, q_{\ell}\right]$. Then $\mathfrak{q}$ is generated by $q_{1}, \ldots, q_{\ell}$. In particular it has height $\ell$. So $\mathfrak{p}$ has height $\ell$ since $A_{*}$ is a finite extension of $R_{*}\left[q_{1}, \ldots, q_{\ell}\right]$. As a result, $\mathfrak{p}=R_{*} A_{+}$since $R_{*} A_{+}$is a prime ideal of height $\ell$, containing $q_{1}, \ldots, q_{\ell}$, whence the assertion.

Recall that $B$ is a graded subalgebra of $A$. Set $B_{*}:=R_{*} \otimes_{R} B$ and for $\mathfrak{p}$ a prime ideal of $B$, denote by $B_{\mathfrak{p}}$ its localization at $\mathfrak{p}$.

Proposition 2.6. Suppose that the following conditions are satisfied:
(1) B is normal,
(2) $A_{+}$is the radical of $A B_{+}$,
(3) A is Cohen-Macaulay.
(i) Let $p_{1}, \ldots, p_{\ell}$ be a homogeneous sequence in $B_{+}$such that $B_{+}$is the radical of the ideal of $B$ generated by this sequence. Then for some graded subspace $V$ of $A$, having finite dimension, the linear morphisms

$$
\begin{aligned}
V \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow A_{*}, \quad v \otimes a & \longmapsto v a, \\
(V \cap B) \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow B_{*}, \quad v \otimes a & \longmapsto v a
\end{aligned}
$$

are isomorphisms.
(ii) If $R=\mathbb{k}$ or $R=\mathbb{k}[[t]]$, the algebra $B_{*}$ is Cohen-Macaulay.
(iii) For $\mathfrak{p}$ prime ideal of $B$, containing $t$, the local ring $B_{\mathfrak{p}}$ is Cohen-Macaulay.

Proof. (i) By Proposition 2.2 and by Condition (2), $B$ is finitely generated and $A$ is a finite extension of $B$. By Condition (2) and by Lemma 2.1(iii), for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $B_{+}, A_{+}$is the radical of the ideal generated by $p_{1}, \ldots, p_{\ell}$.

Let $\underline{p}$ be as in Lemma 2.4. Denote by $m$ the degree of the extension $K(A)$ of $K(B)$. For $a$ in $A_{*} \subset K(A)$, set:

$$
a^{\#}:=\frac{1}{m} \operatorname{tr} a
$$

with $\operatorname{tr}:=\operatorname{tr}_{K(A) / K(B)}$ the trace map. By Condition (1), $B_{*}$ is normal and the map $a \mapsto a^{\#}$ is a projection from $A_{*}$ onto $B_{*}$ whose restriction to $A$ is a projection onto $B$. Moreover, it is a graded morphism of $B$-modules. Let $M$ be its kernel. Let $J_{0}$ and $J$ be the ideals of $B$ and $A$ generated by $p$ respectively. Since $t, p_{1}, \ldots, p_{\ell}$ are in $B, J$ is the direct sum of $J_{0}$ and $M J_{0}$. Let $\overline{V_{0}}$ be a graded complement in $B$ to the $\mathbb{k}$-space $J_{0}$ and let $V_{1}$ be a graded complement in $M$ to the $\mathbb{k}$-space $M J_{0}$. Setting $V:=V_{0}+V_{1}, V$ is a graded complement in $A$ to the $\mathbb{k}$-space $J$. By Condition (3) and Lemma 2.4, $V$ has finite dimension and the linear map

$$
V \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow A_{*}, \quad v \otimes a \longmapsto v a
$$

is an isomorphism. So, since $V_{0}=V^{\#}$, the linear map

$$
V_{0} \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow B_{*}, \quad v \otimes a \longmapsto v a
$$

is an isomorphism, whence the assertion.
(ii) results from (i) and Corollary 2.5.
(iii) By (i) and Corollary 2.5, $A_{*}$ is Cohen-Macaulay. For $\mathfrak{p}$ a prime ideal of $B$, containing $t, B_{\mathfrak{p}}$ is the localization of $B_{*}$ at the prime ideal $B_{*} \mathfrak{p}$, whence the assertion by (ii).
2.2. In this subsection $R=\mathbb{k}[t]$. Then $\widehat{R}=\mathbb{k}[[t]]$. For $M$ a graded module over $R$ such that $M^{[j]}$ is a free submodule of finite rank for all $j$, we denote by $P_{M, R}(T)$ its Hilbert series:

$$
P_{M, R}(T):=\sum_{j \in \mathbb{N}} \operatorname{rk} M^{[j]} T^{j} .
$$

For $V$ a graded space over $\mathbb{k}$ such that $V^{[j]}$ has finite dimension, we denote by $P_{V, \mathbb{k}}(T)$ its Hilbert series:

$$
P_{V, k, k}(T):=\sum_{j \in \mathbb{N}} \operatorname{dim} V^{[j]} T^{j} .
$$

Let $S$ be a graded polynomial algebra over $\mathbb{k}$ such that $S^{[0]}=\mathbb{k}$ and $S^{[j]}$ has finite dimension for all $j$. Consider on $S[t]$ and $S[[t]]$ the gradings extending that of $S$ and such that $t$ has degree 0 . Consider the following conditions on $A$ :
(C1) $A$ is graded subalgebra of $S[t]$,
(C2) for some homogeneous sequence $a_{1}, \ldots, a_{\ell}$ in $A_{+}, A=\mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right] \cap$ $S[t]$,
(C3) $A$ is Cohen-Macaulay.
If the condition (C2) holds, then $A\left[t^{-1}\right]=R\left[a_{1}, \ldots, a_{\ell}\right]\left[t^{-1}\right]$. Moreover, if so, since $A$ has dimension $\ell+1$, then the elements $t, a_{1}, \ldots, a_{\ell}$ are algebraically independent over $\mathbb{k}$. Set $\widehat{A}:=\widehat{R} \otimes_{R} A$.

Lemma 2.7. Assume that the conditions ( C 1 ) and ( C 2 ) hold.
(i) The element $t$ is a prime element of $A$.
(ii) The algebra $A$ is a factorial ring.
(iii) The Hilbert series of the $R$-module $A$ is equal to

$$
P_{A, R}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{d_{i}}},
$$

with $d_{1}, \ldots, d_{\ell}$ the degrees of $a_{1}, \ldots, a_{\ell}$ respectively.
Proof. (i) Let $a$ and $b$ be in $A$ such that $a b$ is in $t A$. Since $t S[t]$ is a prime ideal of $S[t], a$ or $b$ is in $t S[t]$. Suppose $a=t a^{\prime}$ for some $a^{\prime}$ in $S[t]$. Then $a^{\prime}$ is in $A\left[t^{-1}\right]$. By Condition (C2), $A\left[t^{-1}\right]=R\left[a_{1}, \ldots, a_{\ell}\right]\left[t^{-1}\right]$. Hence $a^{\prime}$ is in $A$ by Condition (C2) again. As a result, $A t$ is a prime ideal of $A$.
(ii) Since $A$ is finitely generated, it suffices to prove that all prime ideal of height 1 is principal by [Ma86, Ch. 7, Theorem 20.1]. Let $\mathfrak{p}$ be a prime ideal of height 1. If $t$ is in $\mathfrak{p}$, then $\mathfrak{p}=A t$ by (i). Suppose that $t$ is not in $\mathfrak{p}$ and set $\overline{\mathfrak{p}}=A\left[t^{-1}\right] \mathfrak{p}$. Then $\overline{\mathfrak{p}}$ is a prime ideal of height 1 of $R\left[a_{1}, \ldots, a_{\ell}\right]\left[t^{-1}\right]$ by Condition (C2). For $a$ in $\overline{\mathfrak{p}}$, $t^{m} a$ is in $\mathfrak{p}$ for some nonnegative integer $m$. Hence

$$
\mathfrak{p}=\overline{\mathfrak{p}} \cap A
$$

since $\mathfrak{p}$ is prime. As a polynomial ring over the principal ring $\mathbb{k}\left[t, t^{-1}\right]$, the ring $R\left[a_{1}, \ldots, a_{\ell}\right]\left[t^{-1}\right]$ is a factorial ring. Then $\overline{\mathfrak{p}}$ is generated by an element $a$ in $\mathfrak{p}$. Since $S$ is a polynomial ring, $S[t]$ is a factorial ring. So, for some nonnegative integer $m$ and for some $a^{\prime}$ in $S[t]$, prime to $t, a=t^{m} a^{\prime}$. By Condition (C2), $a^{\prime}$ is in $A$. Then $a^{\prime}$ is an element of $\mathfrak{p}$, generating $\overline{\mathfrak{p}}$ and not divisible by $t$ in $A$. Let $b$ and $c$ be in $A$ such that $b c$ is in $A a^{\prime}$. Then $b$ or $c$ is in $A\left[t^{-1}\right] a^{\prime}$. Suppose $b$ in $A\left[t^{-1}\right] a^{\prime}$. So, for some $l$ in $\mathbb{N}, l^{l} b=b^{\prime} a^{\prime}$ for some $b^{\prime}$ in $A$. We choose $l$ minimal satisfying this condition. By (i), since $a^{\prime}$ is not divisible by $t$ in $A, b^{\prime}$ is divisible by $t$ in $A$ if $l>0$. By minimality of $l, l=0$ and $b$ is in $A a^{\prime}$. As a result, $A a^{\prime}$ is a prime ideal and $\mathfrak{p}=A a^{\prime}$ since $\mathfrak{p}$ has height 1 .
(iii) By Condition (C2),

$$
A\left[t^{-1}\right]=\mathbb{k}\left[t, t^{-1}\right] \otimes_{\mathbb{k}} \mathbb{K}\left[a_{1}, \ldots, a_{\ell}\right] \quad \text { whence } \quad \operatorname{rk} A^{[d]}=\operatorname{dim} \mathbb{k}\left[a_{1}, \ldots, a_{\ell}\right]^{[d]}
$$

for all nonnegative integer $d$. Since $a_{1}, \ldots, a_{\ell}$ are algebraically independent over $\mathbb{k}$,

$$
P_{\mathfrak{k}\left[a_{1}, \ldots, a_{\ell}\right], \mathbb{k}}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{d_{i}}},
$$

whence the assertion.
Let $p_{1}, \ldots, p_{\ell}$ be a homogeneous sequence in $A$ such that $A_{+}$is the radical of the ideal of $A$ generated by this sequence. By Lemma 2.1(ii), such a sequence does exist. Denote by $C$ the integral closure of $\mathbb{k}\left[p_{1}, \ldots, p_{\ell}\right]$ in $\mathbb{k}\left(t, a_{1}, \ldots, a_{\ell}\right)$.

Lemma 2.8. Assume that the conditions ( C 1 ), ( C 2 ) and ( C 3 ) hold.
(i) The algebra $C$ is a graded subalgebra of $A$ and $t$ is not algebraic over $C$.
(ii) The algebra C is Cohen-Macaulay. Moreover, $C$ is a finite free extension of $\mathbb{k}\left[p_{1}, \ldots, p_{\ell}\right]$.
(iii) The algebra $C+t A$ is normal.

Proof. (i) By Lemma 2.7(ii), $A$ is a normal ring such that $K(A)=\mathbb{k}\left(t, a_{1}, \ldots, a_{\ell}\right)$ by Condition (C2). Then $C$ is contained in $A$ since $\mathbb{k}\left[p_{1}, \ldots, p_{\ell}\right]$ is contained in $A$. Moreover, $C$ is a graded algebra since so is $\mathbb{k}\left[p_{1}, \ldots, p_{\ell}\right]$. By Proposition 2.2, $A$ is a finite extension of $R\left[p_{1}, \ldots, p_{\ell}\right]$. So, since $A$ has dimension $\ell+1$, the elements $t, p_{1}, \ldots, p_{\ell}$ are algebraically independent over $\mathbb{k}$. As a result, $t$ is not algebraic over $C$.
(ii) By (i), $C[[t]]=C \otimes_{\mathbb{k}} \mathbb{k}[[t]]$ so that $C[[t]]$ is a flat extension of $\mathbb{k}[[t]]$. Moreover, $C$ is the quotient of $C[[t]]$ by $t C[[t]]$. As $C$ and $\mathbb{k}[[t]]$ are normal rings, $C[[t]]$ is a normal ring by [Ma86, Ch. 8, Corollary of Theorem 23.9]. By definition, $A_{+}$ is the radical of the ideal of $A$ generated by $p_{1}, \ldots, p_{\ell}$. As $\mathbb{k}[[t]]$ is a flat extension of $\mathbb{k}[t]$, from the short exact sequence

$$
0 \longrightarrow A_{+} \longrightarrow A \longrightarrow \mathbb{k}[t] \longrightarrow 0
$$

we deduce the short exact sequence

$$
0 \longrightarrow \widehat{A}_{+} \longrightarrow \widehat{A} \longrightarrow \mathbb{k}[[t]] \longrightarrow 0
$$

Hence $\widehat{A_{+}}$is a prime ideal. As $A_{+}$is the radical of the ideal generated by the sequence $p_{1}, \ldots, p_{\ell}, \widehat{A}_{+}$is contained in the radical of $A C[[t]]_{+}$. Then, by (i), $\widehat{A}_{+}$is the radical of $A C[[t]]_{+}$. Since $\widehat{R}$ is a flat extension of $R$, the algebra $\widehat{A}$ is Cohen-Macaulay by Condition (C3). Then, by Proposition 2.6(ii), $C[[t]]$ is CohenMacaulay. Let $V$ be a graded complement in $C$ to the ideal of $C$ generated by $p_{1}, \ldots, p_{\ell}$. Since $t$ is not algebraic over $C$, the space $V$ is a complement in $C[t]$ to the ideal of $C[t]$ generated by $t, p_{1}, \ldots, p_{\ell}$. Then, by Lemma 2.4, $V$ has finite dimension and the linear morphism

$$
V \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow R_{*} C, \quad v \otimes a \longmapsto v a
$$

is an isomorphism. As a result, the linear morphism

$$
V \otimes_{\mathbb{K}} \mathbb{k}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow C, \quad v \otimes a \longmapsto v a
$$

is an isomorphism, whence the assertion by Corollary 2.5(ii).
(iii) Set $\tilde{A}:=C+t A$. At first, $\tilde{A}$ is a graded subalgebra of $A$ since $C$ is a graded algebra and $t A$ is a graded ideal of $A$. According to Proposition 2.6(i), for some graded subspace $V$ of $A$, having finite dimension, the linear morphisms

$$
\begin{aligned}
V \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow A_{*}, \quad v \otimes a & \longmapsto v a, \\
(V \cap C[t]) \otimes_{\mathbb{k}} R_{*}\left[p_{1}, \ldots, p_{\ell}\right] \longrightarrow R_{*} C, \quad v \otimes a & \longmapsto v a
\end{aligned}
$$

are isomorphisms. Let $v_{1}, \ldots, v_{n}$ be a basis of $V$ such that $v_{1}, \ldots, v_{m}$ is a basis of $V \cap C[t]$. For $a$ in $A_{*}$, the element $a$ has unique expansion

$$
a=v_{1} a_{1}+\cdots+v_{n} a_{n}
$$

with $a_{1}, \ldots, a_{n}$ in $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$. If $a$ is in $t A_{*}, a_{1}, \ldots, a_{n}$ are in $t R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$ and if $a$ is in $R_{*} C, a_{1}, \ldots, a_{m}$ are in $\mathbb{k}\left[p_{1}, \ldots, p_{\ell}\right]$ and $a_{m+1}, \ldots, a_{n}$ are equal to 0 , whence $R_{*} C \cap t A_{*}=t R_{*} C$ and $C \cap t A=\{0\}$. In particular, $C$ is the quotient of $\tilde{A}$ by $t \tilde{A}$.

For $\mathfrak{p}$ a prime ideal of $\tilde{A}$, denote by $\tilde{A}_{\mathfrak{p}}$ the localization of $\tilde{A}$ at $\mathfrak{p}$. If $t$ is not in $\mathfrak{p}$, then $A\left[t^{-1}\right]$ is contained in $\tilde{A}_{\mathfrak{p}}$ so that $\tilde{A}_{\mathfrak{p}}$ is a localization of the regular algebra $R\left[a_{1}, \ldots, a_{\ell}\right]\left[t^{-1}\right]$ by Condition (C2). Hence $\tilde{A}_{\mathfrak{p}}$ is a regular local algebra. Suppose that $t$ is in $\mathfrak{p}$. Denote by $\bar{p}$ the image of $\mathfrak{p}$ in $C$ by the quotient map. Then $\tilde{A}_{\mathfrak{p}} / t \tilde{A}_{\mathfrak{p}}$ is the localization $C_{\overline{\mathfrak{p}}}$ of $C$ at the prime ideal $\overline{\mathfrak{p}}$. Since $C$ is Cohen-Macaulay, so are $C_{\bar{p}}$ and $\tilde{A}_{\mathfrak{p}}$. As a result, $\tilde{A}$ is Cohen-Macaulay.

Let $\mathfrak{p}$ be a prime ideal of height 1 of $\tilde{A}$. If $t$ is not in $\mathfrak{p}, \tilde{A}_{\mathfrak{p}}$ is a regular local algebra as it is already mentioned. Suppose that $t$ is in $\mathfrak{p}$. By Lemma 2.7(i), $t \tilde{A}=\mathfrak{p}$ so that all element of $C \backslash\{0\}$ is invertible in $\tilde{A}_{\mathfrak{p}}$, whence

$$
\tilde{A}_{\mathfrak{p}}=K(C)+t \tilde{A}_{\mathfrak{p}} \quad \text { and } \quad t \tilde{A}_{\mathfrak{p}}=t K(C)+t^{2} \tilde{A}_{\mathfrak{p}}
$$

Hence $\tilde{A}_{\mathfrak{p}}$ is a regular local ring of dimension 1. As a result, $\tilde{A}$ is regular in codimension 1. Then, by Serre's normality criterion [B98, $\S 1, \mathrm{n}^{\circ} 10$, Théorème 4], $\tilde{A}$ is normal since $\tilde{A}$ is Cohen-Macaulay.

Corollary 2.9. Assume that the conditions (C1), (C2) and (C3) hold.
(i) The algebra $\widehat{A}$ is equal to $C[[t]]$.
(ii) For a in $A$, the element $r a$ is in $C[t]$ for some $r$ in $\mathbb{k}[t]$ such that $r(0) \neq 0$.

Proof. (i) Since $t A$ is contained in $A$, we have $K(A)=K(\tilde{A})$. Since $C_{+}$is contained in $\tilde{A}_{+}, A_{+}$is the radical of $A \tilde{A}_{+}$. Then, by Proposition $2.2, A$ is a finite extension of $\tilde{A}$. So, by Lemma 2.8(iii), $A=\tilde{A}$ and by induction on $m$,

$$
A \subset C[t]+t^{m} A
$$

for all positive integer $m$. Since $A$ and $C[t]$ are graded and since the $R$-module $A^{[d]}$ is finitely generated for all $d, \widehat{A}=C[[t]]$.
(ii) The assertion results from (i) and Lemma 2.3.

Proposition 2.10. Assume that the conditions $(\mathrm{C} 1)$, $(\mathrm{C} 2)$ and $(\mathrm{C} 3)$ hold. Then the algebra $A_{*}$ is polynomial over $R_{*}$. Moreover, for some homogeneous sequence $q_{1}, \ldots, q_{\ell}$ in $A_{+}$such that $q_{1}, \ldots, q_{\ell}$ have degree $d_{1}, \ldots, d_{\ell}$ respectively, $A_{*}=$ $R_{*}\left[q_{1}, \ldots, q_{\ell}\right]$.

Proof. According to Corollary 2.9 and Lemma 2.8(i), it suffices to prove that $C$ is a polynomial algebra over $\mathbb{k}$ generated by a homogeneous sequence $q_{1}, \ldots, q_{\ell}$ such that $q_{1}, \ldots, q_{\ell}$ have degree $d_{1}, \ldots, d_{\ell}$ respectively. According to Corollary 2.9(i) Lemma 2.8(i) and Lemma 2.7(iii),

$$
P_{C, \mathbb{k}}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{d_{i}}} .
$$

By Corollary 2.9(ii), for $i=1, \ldots, \ell$, for some $r_{i}$ in $R$ such that $r_{i}(0) \neq 0, r_{i} a_{i}$ has an expansion

$$
r_{i} a_{i}=\sum_{m \in \mathbb{N}} c_{i, m} t^{m}
$$

with $c_{i, m}, m \in \mathbb{N}$ in $C^{\left[d_{i}\right]}$, with finite support. For $z$ in $\mathbb{k}$ and $i=1, \ldots, \ell$, set:

$$
b_{i}(z)=\sum_{m \in \mathbb{N}} c_{i, m} z^{m}
$$

so that $b_{i}(z)$ is in $C^{\left[d_{i}\right]}$ for all $z$. As already mentioned, $t, a_{1}, \ldots, a_{\ell}$ are algebraically independent over $\mathbb{k}$ by Condition (C2) since $A$ has dimension $\ell+1$. Then, so are $t, r_{1} a_{1}, \ldots, r_{\ell} a_{\ell}$ and for some $z$ in $\mathbb{k}, b_{1}(z), \ldots, b_{\ell}(z)$ are algebraically independent over $\mathbb{k}$. Denoting by $C^{\prime}$ the subalgebra of $C$ generated by this sequence,

$$
P_{C^{\prime}, \mathbb{k}}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{d_{i}}}
$$

whence $C=C^{\prime}$ so that $C$ is a polynomial algebra.

## 3. Proof of Theorem 1.5

In this section, unless otherwise specified, the grading on $\mathrm{S}\left(\mathrm{g}^{e}\right)$ is the Slodowy grading.

For $m$ a nonnegative integer, $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{[m]}$ denotes the space of degree $m$ of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$. We retain the notations of the introduction, in particular of Subsection 1.4.
3.1. Let $R$ be the ring $\mathbb{k}[t]$. As in Section 2, for $M$ a graded subspace of $S\left(g^{e}\right)[t]=$ $R \otimes_{\underline{\underline{L}}} \mathrm{~S}\left(\mathrm{~g}^{e}\right)$, its subspace of degree $m$ is denoted by $M^{[m]}$. In particular, $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]^{[m]}$ is equal to $\mathrm{S}\left(\mathrm{g}^{e}\right)^{[m]}[t]$ and it is a free $R$-module of finite rank. As a result, for all graded $R$-submodule $M$ of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$, its Hilbert series is well defined.

For $m$ a nonnegative integer, denote by $F_{m}$ the space of elements of $\kappa\left(\mathrm{S}(\mathfrak{g})^{g}\right)$ whose component of minimal standard degree is at least $m$. Then $F_{0}, F_{1}, \ldots$ is a decreasing filtration of the algebra $\kappa\left(\mathrm{S}(\mathfrak{g})^{\mathrm{g}}\right)$. Let $d_{1}, \ldots, d_{\ell}$ be the standard degrees of a homogeneous generating sequence of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$. We assume that the sequence $d_{1}, \ldots, d_{\ell}$ is increasing.

Recall that $A$ is the intersection of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ with the sub- $\mathbb{k}\left[t, t^{-1}\right]$-module of $\mathrm{S}\left(\mathrm{g}^{e}\right)\left[t, t^{-1}\right]$ generated by $\tau \circ \kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)$, and that $A_{+}$is the augmentation ideal of $A$.

Lemma 3.1. (i) For $p$ a homogeneous element of standard degree $d$ in $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the element $\kappa(p)$ and ${ }^{e} p$ have degree $2 d$.
(ii) For some homogeneous sequence $a_{1}, \ldots, a_{\ell}$ in $A_{+}$, the elements $t, a_{1}, \ldots, a_{\ell}$ are algebraically independent over $\mathbb{k}$, and $A$ is the intersection of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ with $\mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right]$.
(iii) The Hilbert series of the $R$-algebra $A$ is equal to

$$
P_{A, R}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{2 d_{i}}}
$$

(iv) The Hilbert series of the $\mathbb{k}$-algebra $\varepsilon(A)$ is equal to

$$
P_{\varepsilon(A), \mathfrak{k}}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{2 d_{i}}} .
$$

(v) The subalgebra $\varepsilon(A)$ is the graded algebra associated with the filtration $F_{0}, F_{1}, \ldots$.

Proof. (i) Let $\rho$ be as in Subsection 1.4. For $y$ in $\mathfrak{g}^{f}$ and $s$ in $\mathbb{k}^{*}$,

$$
p\left(s^{-2} \rho(s)(e+y)\right)=s^{-2 d} p(\rho(s)(e+y))=s^{-2 d} p(e+y)
$$

since $p$ is invariant under the one-parameter subgroup $\rho$. Hence $\kappa(p)$ is homogeneous of degree $2 d$. Since the monomials $x^{\mathbf{j}}$ are homogeneous, ${ }^{e} p$ has degree $2 d$.
(ii) Let $q_{1}, \ldots, q_{\ell}$ be a homogeneous generating sequence of $S(\mathfrak{g})^{g}$. By a well known fact (cf. e.g. [CM16, Lemma 4.4(i)]), the morphism

$$
G \times\left(e+\mathfrak{g}^{f}\right) \longrightarrow \mathfrak{g}, \quad(g, x) \longmapsto g(x)
$$

is dominant. Then $\kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)$ is a polynomial algebra generated by $\kappa\left(q_{1}\right), \ldots, \kappa\left(q_{\ell}\right)$. So, setting $a_{i}:=\tau \circ \kappa\left(q_{i}\right)$ for $i=1, \ldots, \ell$, the sequence $a_{1}, \ldots, a_{\ell}$ is a homogeneous sequence in $A_{+}$such that

$$
\tau \circ \kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]=\mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right]
$$

Let $\bar{\tau}$ be the automorphism of $\mathrm{S}\left(\mathrm{g}^{e}\right)\left[t, t^{-1}\right]$ extending $\tau$ and such that $\bar{\tau}(t)=t$. Then

$$
\tau \circ \kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]=\bar{\tau}\left(\kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]\right)
$$

Since $\kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]$ has dimension $\ell+1, \tau \circ \kappa\left(\mathrm{~S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]$ has dimension $\ell+1$ too, and $t, a_{1}, \ldots, a_{\ell}$ are algebraically independent over $\mathbb{k}$. By definition, $A=\mathrm{S}\left(\mathfrak{g}^{e}\right)[t] \cap$ $\tau \circ \kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]$. Hence

$$
A\left[t^{-1}\right]=\mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right] \quad \text { and } \quad A=\mathrm{S}\left(\mathfrak{g}^{e}\right)[t] \cap \mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right]
$$

(iii) Since $t$ has degree 0 , the grading of $\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]$ extends to a grading of $\mathrm{S}\left(\mathfrak{g}^{e}\right)\left[t, t^{-1}\right]$ such that for all $m$, its space of degree $m$ is equal to $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{[m]}\left[t, t^{-1}\right]$. Then for all $\mathbb{k}\left[t, t^{-1}\right]$-submodule $M$ of $S\left(\mathfrak{g}^{e}\right)\left[t, t^{-1}\right], M$ has a Hilbert series:

$$
P_{M, \mathbb{k}\left[t, t^{-1}\right]}(T):=\sum_{m \in \mathbb{N}} \operatorname{rk} M^{[m]} T^{m}
$$

with $M^{[m]}$ the subspace of degree $m$ of $M$. From the equality $A\left[t^{-1}\right]=\mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right]$, we deduce

$$
P_{A\left[t^{-1}\right], \mathbb{k}\left[t, t^{-1}\right]}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{2 d_{i}}}
$$

since for $i=1, \ldots, \ell$, the element $a_{i}$ has degree $2 d_{i}$ by (i). For all $m$, the rank of the $R$-module $A^{[m]}$ is equal to the rank of the $\mathbb{k}\left[t, t^{-1}\right]$-module $A\left[t^{-1}\right]^{[m]}$, whence

$$
P_{A, R}(T)=\prod_{i=1}^{\ell} \frac{1}{1-T^{2 d_{i}}}
$$

(iv) Let $m$ be a nonnegative integer. The $R$-module $A^{[m]}$ is free of finite rank and for $\left(v_{1}, \ldots, v_{n}\right)$ a basis of this module, $\left(t v_{1}, \ldots, t v_{n}\right)$ is a basis of the $R$-module $t A^{[m]}$. Since $\varepsilon(A)^{[m]}$ is the quotient of $A^{[m]}$ by $t A^{[m]}$,

$$
\operatorname{dim} \varepsilon(A)^{[m]}=n=\operatorname{rk} A^{[m]}
$$

whence the assertion by (iii).
(v) Let $\mathrm{gr}_{F} A$ be the graded algebra associated with the filtration $F_{0}, F_{1}, \ldots$ of $\kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)$. Denote by $a \mapsto a(1)$ the evaluation map at $t=1$ from $\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]$ to $\mathrm{S}\left(\mathrm{g}^{e}\right)$. For $a$ in $A$ such that $\varepsilon(a) \neq 0, a(1)$ is in $\kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)$ and $\varepsilon(a)$ is the component of minimal degree of $a(1)$ with respect to the standard grading, whence $\varepsilon(A) \subset \operatorname{gr}_{F} A$. Conversely, let $\bar{a}$ be a homogeneous element of degree $m$ of $\mathrm{gr}_{F} A$ and let $a$ be a
representative of $\bar{a}$ in $F_{m}$. Then $\tau(a)=t^{m} b$ with $b$ in $A$ such that $\varepsilon(b)=\bar{a}$, whence $\operatorname{gr}_{F} A \subset \varepsilon(A)$ and the assertion.

Let $R_{*}$ be the localization of $R$ at the prime ideal $t R$ and set

$$
\widehat{R}:=\mathbb{k}[[t]], \quad A_{*}:=R_{*} \otimes_{R} A, \quad \widehat{A}:=\widehat{R} \otimes_{R} A
$$

The grading of $A$ extends to gradings on $A_{*}$ and $\widehat{A}$ such that $A_{*}^{[0]}=R_{*}$ and $\widehat{A}^{[0]}=\widehat{R}$.
Proposition 3.2. (i) The algebra $\varepsilon(A)$ is polynomial if and only if for some standard homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the elements ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$. Moreover, in this case, $A$ is a polynomial algebra.
(ii) If $A_{*}$ is a polynomial algebra over $R_{*}$, then for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $A_{+}$, we have $A_{*}=R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$, the elements $t, p_{1}, \ldots, p_{\ell}$ are algebraically independent over $\mathbb{k}$ and $p_{1}, \ldots, p_{\ell}$ have degree $2 d_{1}, \ldots, 2 d_{\ell}$ respectively.

Proof. (i) Let $q_{1}, \ldots, q_{\ell}$ be a homogeneous generating sequence of $S(\mathfrak{g})^{\mathfrak{g}}$ such that ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$. We can assume that for $i=1, \ldots, \ell, q_{i}$ has standard degree $d_{i}$. For $i=1, \ldots, \ell,{ }^{e} q_{i}$ has degree $2 d_{i}$ by Lemma 3.1(i), and we set

$$
Q_{i}:=t^{-2 d_{i}} \tau \circ \kappa\left(q_{i}\right)
$$

Then $Q_{i}$, for $i=1, \ldots, \ell$, is in $A$ by definition of $A$. For $\mathbf{i}=\left(i_{1}, \ldots, i_{\ell}\right)$ in $\mathbb{N}^{\ell}$, set:

$$
\begin{gathered}
q^{\mathbf{i}}:=q_{1}^{i_{1}} \cdots q_{\ell}^{i_{\ell}}, \quad Q^{\mathbf{i}}:=Q_{1}^{i_{1}} \cdots Q_{\ell}^{i_{\ell}}, \quad{ }^{e} q^{\mathbf{i}}:={ }^{e} q_{1}^{i_{1}} \cdots{ }^{e} q_{\ell}^{i_{\ell}} \\
|\mathbf{i}|_{\min }:=2 i_{1} d_{1}+\cdots+2 i_{\ell} d_{\ell} .
\end{gathered}
$$

Then, for all i in $\mathbb{N}^{\ell}$,

$$
\tau \circ \kappa\left(q^{\mathbf{i}}\right)=t^{\mathbf{i} \mathbf{i m i n}^{m}} Q^{\mathbf{i}} .
$$

Moreover,

$$
\tau \circ \kappa\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)\left[t, t^{-1}\right]=\mathbb{k}\left[t, t^{-1}, Q_{1}, \ldots, Q_{\ell}\right] .
$$

Let $a$ be in $A$. For some $l$ in $\mathbb{N}$ and for some sequence $c_{\mathbf{i}, m},(\mathbf{i}, m) \in \mathbb{N}^{\ell} \times \mathbb{N}$ in $\mathbb{k}$, of finite support,

$$
t^{l} a=\sum_{(\mathbf{i}, m) \in \mathbb{N}^{\ell} \times \mathbb{N}} c_{\mathbf{i}, m} t^{m} Q^{\mathbf{i}} \quad \text { whence } \quad \sum_{\mathbf{i} \in \mathbb{N}^{\ell}} c_{\mathbf{i}, m} e^{\mathbf{i}}=0
$$

for $m<l$. Hence $a$ is in $R\left[Q_{1}, \ldots, Q_{\ell}\right]$ since the elements ${ }^{e} q^{\mathbf{i}}, \mathbf{i} \in \mathbb{N}^{\ell}$ are linearly independent over $\mathbb{k}$. As a result,

$$
A=R\left[Q_{1}, \ldots, Q_{\ell}\right] \quad \text { and } \quad \varepsilon(A)=\mathbb{k}\left[{ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}\right]
$$

so that $A$ and $\varepsilon(A)$ are polynomial algebras over $\mathbb{k}$ since ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$.

Conversely, suppose that $\varepsilon(A)$ is a polynomial algebra. By Lemma 3.1, (i) and (iv), the algebra $\varepsilon(A)$ is graded for both Slodowy grading and standard grading.

Let $d$ be the dimension of $\varepsilon(A)$. As $\varepsilon(A)$ is a polynomial algebra, it is regular so that the $\mathbb{k}$-space $\varepsilon(A)_{+} / \varepsilon(A)_{+}^{2}$ has dimension $d$. Moreover, the two gradings on $\varepsilon(A)$ induce gradings on $\varepsilon(A)_{+} / \varepsilon(A)_{+}^{2}$. Hence $\varepsilon(A)_{+} / \varepsilon(A)_{+}^{2}$ has a bihomogeneous basis. Then some bihomogeneous sequence $u_{1}, \ldots, u_{d}$ in $\varepsilon(A)_{+}$represents a basis of $\varepsilon(A)_{+} / \varepsilon(A)_{+}^{2}$. As a result, the $\mathbb{k}$-algebra $\varepsilon(A)$ is generated by the bihomogeneous sequence $u_{1}, \ldots, u_{d}$. For $i=1, \ldots, d$, denote by $\delta_{i}$ the Slodowy degree of $u_{i}$. As $\varepsilon$ is homogeneous with respect to the Slodowy grading, $u_{i}=\varepsilon\left(r_{i}\right)$ for some homogeneous element $r_{i}$ of degree $\delta_{i}$ of $A$. Let $m_{i}$ be the smallest nonnegative integer such that $t^{m_{i}} r_{i}$ is in $\tau \circ \kappa\left(\mathrm{S}(\mathfrak{g})^{\mathrm{g}}\right)$. According to Lemma 3.1(i), $\delta_{i}$ is even and for some standard homogeneous element $p_{i}$ of standard degree $\delta_{i} / 2$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}, t^{m_{i}} r_{i}=$ $\tau \circ \kappa\left(p_{i}\right)$. Then $u_{i}={ }^{e} p_{i}$ since $p_{i}$ is standard homogeneous.

Let $\mathfrak{P}$ be the subalgebra of $\mathrm{S}(\mathfrak{g})$ generated by $p_{1}, \ldots, p_{d}$. Suppose that $\mathfrak{F}$ is strictly contained in $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$. A contradiction is expected. For some positive integer $m$, the space $\mathbf{S}(\mathfrak{g})_{m}^{\mathfrak{g}}$ of standard degree $m$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$ is not contained in $\mathfrak{P}$. Let $q$ be in $\left(\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}\right)_{m} \backslash \mathfrak{P}$ such that ${ }^{e} q$ has maximal standard degree. By Lemma 3.1(i), ${ }^{e} q$ is a polynomial in $u_{1}, \ldots, u_{d}$, of degree $2 m$. So, for some polynomial $q^{\prime}$ of degree $m$ in $\mathfrak{B},{ }^{e}\left(q-q^{\prime}\right)$ has standard degree bigger than the standard degree of ${ }^{e} q$. So, by maximality of the standard degree of ${ }^{e} q$, the elements $q-q^{\prime}$ and $q$ are in $\mathfrak{P}$, whence the contradiction. As a result, $\mathfrak{P}=\mathrm{S}(\mathfrak{g})^{9}$ and $d=\ell$.
(ii) Suppose that $A_{*}$ is a polynomial algebra. Denoting by $J$ the ideal of $A_{*}$ generated by $t$ and $A_{+}$, the $\mathbb{k}$-space $J / J^{2}$ is a graded space of dimension $\ell+1$ since $A_{*}$ is a regular algebra of dimension $\ell+1$. Then for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $A_{+},\left(t, p_{1}, \ldots, p_{\ell}\right)$ is a basis of $J$ modulo $J^{2}$. Since $p_{1}, \ldots, p_{\ell}$ have positive degree, we prove by induction on $d$ that

$$
A_{*}^{[d]} \subset R_{*}\left[p_{1}, \ldots, p_{\ell}\right]^{[d]}+t A_{*}^{[d]} .
$$

Then by induction on $m$, we get

$$
A_{*}^{[d]} \subset R_{*}\left[p_{1}, \ldots, p_{\ell}\right]+t^{m} A_{*}^{[d]} .
$$

So, since the $R_{*}$-module $A_{*}^{[d]}$ is finitely generated,

$$
A_{*}^{[d]} \subset \widehat{R}\left[p_{1}, \ldots, p_{\ell}\right]^{[d]} .
$$

Apply Lemma 2.3 to $N=A$ and $M=\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]$. Since $\widehat{N}=\widehat{R}\left[p_{1}, \ldots, p_{\ell}\right]$, for $a \in N$, there exists $r \in R$ such that $r(0) \neq 0$ and $r a \in R\left[p_{1}, \ldots, p_{\ell}\right]$ by Lemma 2.3. So $A_{*}$ is contained in $R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$, whence $A_{*}=R_{*}\left[p_{1}, \ldots, p_{\ell}\right]$.

Denote by $\delta_{1}, \ldots, \delta_{\ell}$ the respective degrees of $p_{1}, \ldots, p_{\ell}$. We can suppose that $p_{1}, \ldots, p_{\ell}$ is ordered so that $\delta_{1} \leqslant \cdots \leqslant \delta_{\ell}$. Prove by induction on $i$ that $\delta_{j}=2 d_{j}$ for $j=1, \ldots, i$. By Lemma 3.1(iii), $2 d_{1}$ is the smallest positive degree of the elements of $A$. Moreover, $\delta_{1}$ is the smallest positive degree of the elements of $R\left[p_{1}, \ldots, p_{\ell}\right]$, whence $\delta_{1}=2 d_{1}$. Suppose $\delta_{j}=2 d_{j}$ for $j=1, \ldots, i-1$. Set $A_{i}:=R\left[p_{i}, \ldots, p_{\ell}\right]$.

Then, by induction hypothesis and Lemma 3.1(iii),

$$
P_{A_{i}, R}(T)=\prod_{j=i}^{\ell} \frac{1}{1-T^{\delta_{j}}}=\prod_{j=i}^{\ell} \frac{1}{1-T^{2 d_{j}}} .
$$

By the first equality, $\delta_{i}$ is the smallest positive degree of the elements of $A_{i}$ and by the second equality, $2 d_{i}$ is the smallest positive degree of the elements of $A_{i}$ too, whence $\delta_{i}=2 d_{i}$. Then with $i=\ell$, we get that $\delta_{j}=2 d_{j}$ for $j=1, \ldots, \ell$.

Recall that $\widehat{R}=\mathbb{k}[[t]]$.
Corollary 3.3. Suppose that $A_{*}$ is a polynomial algebra. Then for some standard homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ in $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$,

$$
A_{*}=R_{*}\left[t^{-2 d_{1}} \tau \circ \kappa\left(q_{1}\right), \ldots, t^{-2 d_{\ell}} \tau \circ \kappa\left(q_{\ell}\right)\right] .
$$

Proof. For $m$ nonnegative integer, denote by $\mathrm{S}(\mathfrak{g})_{m}^{\mathfrak{g}}$ the space of standard degree $m$ of $\mathrm{S}(\mathrm{g})^{\mathfrak{g}}$. By Proposition 3.2(ii), for some homogeneous sequence $p_{1}, \ldots, p_{\ell}$ in $A_{+}$ such that $p_{1}, \ldots, p_{\ell}$ have degree $2 d_{1}, \ldots, 2 d_{\ell}$ respectively,

$$
A_{*}=R_{*}\left[p_{1}, \ldots, p_{\ell}\right]
$$

For $i=1, \ldots, \ell$, let $m_{i}$ be the smallest integer such that $t^{m_{i}} p_{i}$ is in $\tau \circ K\left(\mathrm{~S}(\mathfrak{g})^{\mathfrak{g}}\right)$. By Lemma 3.1(i), $t^{m_{i}} p_{i}$ has an expansion

$$
t^{m_{i}} p_{i}=\sum_{j \in \mathbb{N}} t^{j} \tau \circ \kappa\left(q_{i, j}\right)
$$

with $q_{i, j}, j \in \mathbb{N}$, in $\mathrm{S}(\mathfrak{g})_{d_{i}}^{\mathfrak{g}}$ of finite support. Denoting by $\delta_{i, j}$ the standard degree of ${ }^{e} q_{i, j}$, set:

$$
\begin{gathered}
J_{i}^{\prime}:=\left\{j \in \mathbb{N} ; m_{i}=j+\delta_{i, j}\right\}, \quad \delta_{i}:=\inf \left\{\delta_{i, j} ; j \in J_{i}^{\prime}\right\}, \\
j_{i}:=m_{i}-2 d_{i}, \quad Q_{i}:=t^{-2 d_{i}} \tau \circ \kappa\left(q_{i, j_{i}}\right) .
\end{gathered}
$$

For $i=1, \ldots, \ell$, since $p_{i}$ is not divisible by $t$ in $A$,

$$
p_{i}-Q_{i} \in t A
$$

whence

$$
A_{*} \subset R_{*}\left[Q_{1}, \ldots, Q_{\ell}\right]+t A_{*}
$$

Then, by induction $m$,

$$
A_{*} \subset R_{*}\left[Q_{1}, \ldots, Q_{m}\right]+t^{m} A_{*}
$$

for all $m$. As a result,

$$
\widehat{A}=\widehat{R}\left[Q_{1}, \ldots, Q_{\ell}\right]
$$

since for all $d$, the $R_{*}$-module $A_{*}^{[d]}$ is finitely generated. Then, by Lemma 2.3,

$$
A_{*}=R_{*}\left[Q_{1}, \ldots, Q_{\ell}\right]
$$

As a result, since $A$ has dimension $\ell+1$, the elements $t, Q_{1}, \ldots, Q_{\ell}$ are algebraically independent over $\mathbb{k}$ and so are $q_{1, j_{1}}, \ldots, q_{\ell, j_{\ell}}$. Moreover the algebra $\mathrm{S}(\mathfrak{g})^{9}$ is generated by $q_{1, j_{1}}, \ldots, q_{\ell, j_{\ell}}$ since they have degree $d_{1}, \ldots, d_{\ell}$ respectively.
3.2. Denote by $\mathcal{V}$ the nullvariety of $A_{+}$in $\mathfrak{g}^{f} \times \mathbb{k}$. Let $\mathcal{V}_{*}$ be the union of the irreducible components of $\mathcal{V}$ which are not contained in $\mathfrak{g}^{f} \times\{0\}$. The following result is proven in [CM16, Corollary 4.4(i)]. Indeed, the proof of this result does not use the assumption of [CM16, Section 4] that for some homogeneous generators $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathrm{g})^{\mathfrak{9}}$, the elements ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent.

Lemma 3.4 ([CM16, Corollary 4.4(i)]). (i) The variety $\mathcal{V}_{*}$ is equidimensional of dimension $r+1-\ell$.
(ii) For all irreducible component $X$ of $\mathcal{V}_{*}$ and for all $z$ in $\mathbb{k}, X$ is not contained in $\mathrm{g}^{f} \times\{z\}$.

Let $\mathcal{N}$ be the nullvariety of $\varepsilon(A)_{+}$in $\mathfrak{g}^{f}$. Then $\mathcal{V}$ is the union of $\mathcal{V}_{*}$ and $\mathcal{N} \times\{0\}$.
Lemma 3.5. (i) All irreducible component of $\mathcal{N}$ have dimension at least $r-\ell$ and all irreducible component of $\mathcal{V}$ have dimension at least $r+1-\ell$.
(ii) Assume that $\mathcal{N}$ has dimension $r-\ell$. Then for some homogeneous sequence $p_{1}, \ldots, p_{r-\ell}$ in $\mathrm{S}\left(\mathrm{g}^{e}\right)_{+}$, the nullvariety of $t, p_{1}, \ldots, p_{r-\ell}$ in $\mathcal{V}$ is equal to $\{0\}$.

Proof. (i) By Lemma 3.1(ii), for some homogeneous sequence $a_{1}, \ldots, a_{\ell}$ in $A_{+}$, the elements $t, a_{1}, \ldots, a_{\ell}$ are algebraically independent over $\mathbb{k}$. Let $b_{1}, \ldots, b_{m}$ be a homogeneous sequence in $A_{+}$, generating the ideal $\mathrm{S}\left(\mathrm{g}^{e}\right)[t] A_{+}$of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$. Set:

$$
\begin{gathered}
B:=\mathbb{k}\left[a_{1}, \ldots, a_{\ell}, b_{1}, \ldots, b_{m}\right], \quad B_{+}:=B a_{1}+\cdots+B a_{\ell}+B b_{1}+\cdots+B b_{m}, \\
C:=B[t], \quad C_{++}:=B_{+}[t]+C t .
\end{gathered}
$$

Then $B$ and $C$ are graded subalgebras of $A$ and $B_{+}$and $C_{++}$are maximal ideals of $B$ and $C$ respectively. Moreover, $C$ has dimension $\ell+1$. We have a commutative diagram

with $\alpha, \beta, \pi$ the morphisms whose comorphisms are the canonical injections

$$
C \hookrightarrow \mathrm{~S}\left(\mathfrak{g}^{e}\right)[t], \quad B \hookrightarrow \mathrm{~S}\left(\mathfrak{g}^{e}\right)[t], \quad B \hookrightarrow C
$$

respectively. Since $C$ has dimension $\ell+1$, the irreducible components of the fibers of $\alpha$ have dimension at least $r-\ell$, whence the result for $\mathcal{N}$ since $\mathcal{N} \times\{0\}=\alpha^{-1}\left(C_{++}\right)$. Moreover, $\mathcal{V}=\beta^{-1}\left(B_{+}\right)$and $\pi^{-1}\left(B_{+}\right)$is a subvariety of dimension 1 of $\operatorname{Specm}(C)$. Hence all irreducible component of $\mathcal{V}$ has dimension at least $r+1-\ell$.
(ii) Prove by induction on $i$ that there exists a homogeneous sequence $p_{1}, \ldots, p_{i}$ in $\mathrm{S}\left(\mathrm{g}^{e}\right)_{+}$such that the minimal prime ideals of $\mathrm{S}\left(\mathrm{g}^{e}\right)$ containing $\varepsilon(A)_{+}$and $p_{1}, \ldots, p_{i}$
have height $\ell+i$. First of all, $\mathrm{S}\left(\mathfrak{g}^{e}\right) \varepsilon(A)_{+}$is graded. Then the minimal prime ideals of $\mathrm{S}\left(\mathrm{g}^{e}\right)$ containing $\varepsilon(A)_{+}$are graded too. By, (i), they have height $\ell$ since $\mathcal{N}$ has dimension $r-\ell$ by hypothesis. In particular, they are strictly contained in $\mathrm{S}\left(\mathrm{g}^{e}\right)_{+}$. Hence, by Lemma 2.1(ii), for some homogeneous element $p_{1}$ in $\mathrm{S}\left(\mathrm{g}^{e}\right), p_{1}$ is not in the union of these ideals so that the statement is true for $i=1$ by [Ma86, Ch. 5, Theorem 13.5]. Suppose that it is true for $i-1$. Then the minimal prime ideals containing $\varepsilon(A)_{+}$and $p_{1}, \ldots, p_{i-1}$ are graded and strictly contained in $\mathrm{S}\left(\mathrm{g}^{e}\right)_{+}$by the induction hypothesis. So, by Lemma 2.1(ii), for some homogeneous element $p_{i}$ in $\mathrm{S}\left(\mathrm{g}^{e}\right), p_{i}$ is not in the union of these ideals and the sequence $p_{1}, \ldots, p_{i}$ satisfy the condition of the statement by [Ma86, Ch. 5, Theorem 13.5]. For $i=r-\ell$, the nullvariety of $p_{1}, \ldots, p_{r-\ell}$ in $\mathcal{N}$ has dimension 0 . Then it is equal to $\{0\}$ as the nullvariety of a graded ideal, whence the assertion since $\mathcal{N} \times\{0\}$ is the nullvariety of $t$ in $\mathcal{V}$.
3.3. We assume in this subsection that $\mathcal{N}$ has dimension $r-\ell$. Let $p_{1}, \ldots, p_{r-\ell}$ be as in Lemma 3.5(ii), and set

$$
C:=A\left[p_{1}, \ldots, p_{r-\ell}\right] .
$$

Then $p_{1}, \ldots, p_{r-\ell}$ are algebraically independent over $A$ since $\mathcal{N}$ has dimension $r-\ell$.
Lemma 3.6. The ideal $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]_{+}$of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ is the radical of $\mathrm{S}\left(\mathrm{g}^{e}\right)[t] C_{+}$.
Proof. Let $Y$ be an irreducible component of the nullvariety of $C_{+}$in $\mathfrak{g}^{f} \times \mathbb{k}$. Then $Y$ has dimension at least 1. By definition the nullvariety of $t$ in $Y$ is equal to $\{0\}$. Hence $Y$ has dimension 1. The grading on $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ induces an action of the onedimensional multiplicative group $\mathrm{G}_{\mathrm{m}}$ on $\mathfrak{g}^{f} \times \mathbb{k}$ such that for all $(x, z)$ in $\mathfrak{g}^{f} \times \mathbb{k},(0, z)$ is in the closure of the orbit of $(x, z)$ under $\mathrm{G}_{\mathrm{m}}$. Since $C_{+}$is graded, $Y$ is invariant under $\mathrm{G}_{\mathrm{m}}$. As a result, $Y=\{0\} \times \mathbb{k}$ or for some $x$ in $\mathfrak{g}^{f} \times \mathbb{k}, Y$ is the closure of the orbit of $(x, 0)$ under $\mathrm{G}_{\mathrm{m}}$ since 0 is the nullvariety of $t$ in $Y$. In the last case, $x$ is a zero of $p_{1}, \ldots, p_{r-\ell}$ in $\mathcal{N}$, that is $x=0$. Hence $Y=\{0\} \times \mathbb{k}$. As a result, the nullvariety of $C_{+}$in $\mathfrak{g}^{f} \times \mathbb{k}$ is equal to $\{0\} \times \mathbb{k}$ that is the nullvariety of $\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]_{+}$, whence the assertion since $\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]_{+}$is a prime ideal of $\mathrm{S}\left(\mathfrak{g}^{e}\right)[t]$.

For $\mathfrak{p}$ a prime ideal of $A$, denote by $A_{\mathfrak{p}}$ the localization of $A$ at $\mathfrak{p}$ and by $\overline{\mathfrak{p}}$ the ideal of $C$ generated by $\mathfrak{p}$. Since $C$ is a polynomial algebra over $A, \bar{p}$ is a prime ideal of $C$ and $A \backslash \mathfrak{p}$ is the intersection of $A$ and $C \backslash \overline{\mathfrak{p}}$. Hence the localization $C_{\overline{\mathfrak{p}}}$ of $C$ at $\overline{\mathfrak{p}}$ is a localization of the polynomial algebra $A_{\mathfrak{p}}\left[p_{1}, \ldots, p_{r-\ell}\right]$. Moreover, $A_{\mathfrak{p}}$ is the quotient of $C_{\overline{\mathfrak{p}}}$ by the ideal generated by $p_{1}, \ldots, p_{r-\ell}$. According to [Ma86, Ch. 6, Theorem 17.4], if $C_{\overline{\mathfrak{p}}}$ is Cohen-Macaulay, $p_{1}, \ldots, p_{r-\ell}$ is a regular sequence in $C_{\overline{\mathfrak{p}}}$ since $A_{\mathfrak{p}}$ has dimension $\operatorname{dim} C_{\overline{\mathfrak{p}}}-r+\ell$. Then, again by [Ma86, Ch. 6, Theorem 17.4], $A_{\mathfrak{p}}$ is Cohen-Macaulay if so is $C_{\bar{p}}$.

Proof of Theorem 1.5. By Lemma 3.6 and Proposition 2.2, the algebra $C$ is finitely generated. Then $A$ is finitely generated as a quotient of $C$. Hence by Lemma 2.7(ii),
$A$ is a factorial ring and so is $C$ as a polynomial ring over $A$. As a result, $C$ is normal so that $\mathrm{S}\left(\mathrm{g}^{e}\right)[t]$ and $C$ satisfy the conditions (1), (2), (3) of Proposition 2.6. Hence by Proposition 2.6, for all prime ideal $\mathfrak{p}$ of $A$, containing $t, C_{\overline{\mathfrak{p}}}$ is Cohen-Macaulay, whence $A_{\mathfrak{p}}$ is Cohen-Macaulay. By Lemma 3.1(ii), for $\mathfrak{p}$ a prime ideal of $A$, not containing $t, A_{\mathfrak{p}}$ is the localization of $\mathbb{K}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right]$ at the prime ideal generated by $\mathfrak{p}$. Therefore $A_{\mathfrak{p}}$ is Cohen-Macaulay since the algebra $\mathbb{k}\left[t, t^{-1}, a_{1}, \ldots, a_{\ell}\right]$ is regular. As a result $A$ is Cohen-Macaulay. In particular, $A$ satisfies the conditions (1), (2), (3) of Subsection 2.2. So, by Proposition 2.10, $A_{*}$ is a polynomial algebra over $R_{*}$. Then by Corollary 3.3, for some homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ in $S(\mathfrak{g})^{\mathfrak{g}}$,

$$
A_{*}=R_{*}\left[t^{-2 d_{1}} \tau \circ \kappa\left(q_{1}\right), \ldots, t^{-2 d_{\ell}} \boldsymbol{\tau} \circ \kappa\left(q_{\ell}\right)\right] .
$$

Form the above equality, we deduce that any element of $A$ is the product of an element of the algebra $R\left[t^{-2 d_{1}} \tau \circ \kappa\left(q_{1}\right), \ldots, t^{-2 d_{\ell}} \tau \circ \kappa\left(q_{\ell}\right)\right]$ by a polynomial in $t$ with nonzero constant term, whence

$$
A=R\left[t^{-2 d_{1}} \tau \circ \kappa\left(q_{1}\right), \ldots, t^{-2 d_{\ell}} \tau \circ \kappa\left(q_{\ell}\right)\right] \quad \text { and so } \quad \varepsilon(A)=\mathbb{k}\left[{ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}\right]
$$

since for $i=1, \ldots, \ell$,

$$
{ }^{e} q_{i}:=\varepsilon\left(t^{-2 d_{i}} \tau \circ \kappa\left(q_{i}\right)\right)
$$

Since $\mathcal{N} \times\{0\}$ is the nullvariety of $t$ and $A_{+}$in $\mathfrak{g}^{f} \times \mathbb{k}, \mathcal{N}$ is the nullvariety in $\mathfrak{g}^{f}$ of ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$. Hence ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$ since $\mathcal{N}$ has dimension $r-\ell$.

## 4. Proof of Theorem 1.4

Let $(e, h, f)$ be an $\mathfrak{s l}_{2}$-triple in $\mathfrak{g}$. We use the notations $\kappa$ and ${ }^{e} p, p \in \mathrm{~S}(\mathfrak{g})^{\mathfrak{g}}$, as in the introduction. In this section, we use the standard gradings on $S(\mathfrak{g})$ and $S\left(g^{e}\right)$. Let $A_{0}$ be the subalgebra of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$ generated by the family ${ }^{e} p, p \in \mathrm{~S}(\mathfrak{g})^{\mathfrak{g}}$, and let $\mathcal{N}_{0}$ be the nullvariety of $A_{0,+}$ in $\mathfrak{g}^{f}$ where $A_{0,+}$ denotes the augmentation ideal of $A_{0}$.

Let $a_{1}, \ldots, a_{m}$ be a homogeneous sequence in $A_{0,+}$ generating the ideal of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$ generated by $A_{0,+}$. According to [PPY07, Corollary 2.3], $A_{0}$ contains homogeneous elements $b_{1}, \ldots, b_{\ell}$ algebraically independent over $\mathbb{k}$.

Lemma 4.1. Let $\mathfrak{H}$ be the integral closure of $\mathbb{k}\left[a_{1}, \ldots, a_{m}, b_{1}, \ldots, b_{\ell}\right]$ in the fraction field of $\mathrm{S}\left(\mathrm{g}^{e}\right)$.
(i) The algebra $\mathfrak{A}$ is contained in $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ and its fraction field is the fraction field of $\mathrm{S}\left(\mathrm{g}^{e}\right)^{\mathrm{g}^{e}}$.
(ii) Let a in $\mathrm{S}\left(\mathfrak{g}^{e}\right)_{+}^{\mathrm{g}^{e}}$. If a is equal to 0 on $\mathcal{N}_{0}$, then a is in $\mathfrak{U}_{+}$.
(iii) The algebra $\mathfrak{H}$ is the integral closure of $A_{0}$ in the fraction field of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$.

Proof. (i) Let $K_{0}$ be the field of invariant elements under the adjoint action of $\mathfrak{g}^{e}$ in the fraction field of $S\left(\mathfrak{g}^{e}\right)$. According to [CM16, Lemma 3.1], $K_{0}$ is the fraction field of $S\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$. Since $a_{1}, \ldots, a_{m}, b_{1}, \ldots, b_{\ell}$ are in $S\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}, \mathfrak{A}$ is contained
in $K_{0}$. Moreover, $\mathfrak{A}$ is contained in $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ since $\mathrm{S}\left(\mathrm{g}^{e}\right)^{\mathfrak{g}^{e}}$ is integrally closed in $K_{0}$. Since $K_{0}$ has transcendence degree $\ell$ over $\mathbb{k}$ and since $b_{1}, \ldots, b_{\ell}$ are algebraically independent over $\mathbb{k}, K_{0}$ is the fraction field of $\mathfrak{A}$.
(ii) Since $\mathcal{N}_{0}$ is the nullvariety of $a_{1}, \ldots, a_{m}, b_{1}, \ldots, b_{\ell}$ in $\mathfrak{g}^{f}, \mathcal{N}_{0}$ is the nullvariety of $\mathfrak{A}_{+}$in $\mathfrak{g}^{f}$. Let $a$ be in $\mathrm{S}\left(\mathfrak{g}^{e}\right)_{+}^{\mathfrak{g}^{e}}$ such that $a$ is equal to 0 on $\mathcal{N}_{0}$. Since $\mathcal{N}_{0}$ is a cone, all homgogeneous components of $a$ is equal to 0 on $\mathcal{N}_{0}$. So it suffices to prove the assertion for $a$ homogeneous. We have a commutative diagram

with $\pi, \alpha, \beta$ the comorphisms of the canonical injections

$$
\mathfrak{H}[a] \hookrightarrow \mathrm{S}\left(\mathfrak{g}^{e}\right), \quad \mathfrak{A} \hookrightarrow \mathrm{S}\left(\mathfrak{g}^{e}\right), \quad \mathfrak{A} \hookrightarrow \mathfrak{A}[a] .
$$

Since $\mathcal{N}_{0}$ is the nullvariety of $\mathfrak{A}[a]_{+}$and $\mathfrak{A}_{+}$in $\mathfrak{g}^{f}, \beta^{-1}\left(\mathfrak{H}_{+}\right)=\mathfrak{A}[a]_{+}$. The gradings of $\mathfrak{A}$ and $\mathfrak{A}[a]$ induce actions of $\mathrm{G}_{\mathrm{m}}$ on $\operatorname{Specm}(\mathfrak{H})$ and $\operatorname{Specm}(\mathfrak{A}[a])$ such that $\beta$ is equivariant. Moreover, $\mathfrak{I}_{+}$is in the closure of all orbit under $\mathrm{G}_{\mathrm{m}}$ in $\operatorname{Specm}(\mathfrak{A})$. Hence $\beta$ is a quasi finite morphism. Moreover, $\beta$ is a birational since $\mathfrak{A}$ and $\mathfrak{A}[a]$ have the same fraction field by (i). Hence, by Zariski's main theorem [Mu88], $\beta$ is an open immersion from $\operatorname{Specm}(\mathfrak{U}[a])$ into $\operatorname{Specm}(\mathfrak{H})$. So, $\beta$ is surjective since $\mathfrak{H}_{+}$ is in the image of $\beta$ and since it is in the closure of all $\mathrm{G}_{\mathrm{m}}$-orbit in $\operatorname{Specm}(\mathscr{t})$. As a result, $\beta$ is an isomorphism and $a$ is in $\mathfrak{A}$, whence the assertion.
(iii) By (ii), $A_{0}$ is contained in $\mathfrak{A}$. Moreover, since $a_{1}, \ldots, a_{m}, b_{1}, \ldots, b_{\ell}$ are in $A_{0}, \mathfrak{2}$ is contained in the integral closure of $A_{0}$ in the fraction field of $S\left(g^{e}\right)$, whence the assertion.

Corollary 4.2. Suppose that the algebra $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ is finitely generated. Then $\mathfrak{A}$ is equal to $\mathrm{S}\left(\mathrm{g}^{e}\right)^{g^{e}}$.

Proof. Let $C$ be the quotient of $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ by the ideal $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{e} \mathfrak{g}^{e} \mathfrak{A}_{+}$. By hypothesis, $C$ is finitely generated. Then it has finitely many minimal prime ideals. Denote them by $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$. For $a$ in the radical of $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{g^{e}} \mathfrak{A}_{+}, a$ is equal to 0 on $\mathcal{N}_{0}$. Moreover, it is in $\mathrm{S}\left(\mathfrak{g}^{e}\right)_{+}^{g^{e}}$. Then, by Lemma 4.1(ii), $a$ is in $\mathfrak{A}_{+}$. As a result, $C$ is a reduced algebra and the canonical map

$$
C \longrightarrow C / \mathfrak{p}_{1} \times \cdots \times C / \mathfrak{p}_{m}
$$

is injective. Since $\mathfrak{A}$ and $S\left(g^{e}\right)^{g^{e}}$ have the same fraction field, they have the same Krull dimension. Denote by $d$ this dimension and by $\mathfrak{p}_{j}^{\prime}$, for $j=1, \ldots, m$, the inverse image of $\mathfrak{p}_{j}$ in $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ by the quotient map $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{g^{e}} \rightarrow C$.

Claim 4.3. Let $j=1, \ldots, m$. For $i=1, \ldots, d$, there exists a sequence $c_{1}, \ldots, c_{i}$ of elements of $\mathfrak{U}_{+}$and an increasing sequence

$$
\{0\}=\mathfrak{q}_{0} \subsetneq \cdots \subsetneq \mathfrak{q}_{i} \subset \mathfrak{p}_{j}^{\prime}
$$

of prime ideals of of $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ such that $c_{i}$ is not $\mathfrak{q}_{i-1}$ and $c_{1}, \ldots, c_{j}$ are in $\mathfrak{q}_{j}$ for $j=1, \ldots, i$.

Proof of Claim 4.3. Prove the claim by induction on $i$. Let $c_{1}$ be in $\mathfrak{U}_{+} \backslash\{0\}$. As $\mathfrak{H}_{+}$ is contained in $\mathfrak{p}_{j}^{\prime}$, there exists a minimal prime ideal $\mathfrak{q}_{1}$ of $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$, contained in $\mathfrak{p}_{j}^{\prime}$ and containing $c_{1}$. Suppose $i>1$ and the claim true for $i-1$. As the sequence

$$
\{0\}=\mathfrak{A}_{+} \cap \mathfrak{q}_{1} \subsetneq \cdots \subsetneq \mathfrak{A}_{+} \cap \mathfrak{q}_{i-1} \subset \mathfrak{A}_{+}
$$

is an increasing sequence of prime ideals of $\mathfrak{U}_{+}$and $\mathfrak{H}_{+}$has height $d>i-1, \mathfrak{U}_{+}$ is not contained in $\mathfrak{q}_{i-1}$. Let $c_{i}$ be in $\mathfrak{q}_{+} \backslash \mathfrak{q}_{i-1}$ and $\mathfrak{q}_{i}$ the minimal prime ideal of $\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$ contained in $\mathfrak{p}_{j}^{\prime}$ and containing $c_{i}$ and $\mathfrak{q}_{i-1}$. So by the induction hypothesis, the sequence $c_{1}, \ldots, c_{i}$ satisfies the conditions of the claim. This concludes the proof.

By the claim, $\mathfrak{p}_{j}^{\prime}$ has height at least $d$ for $j=1, \ldots, m$. Hence $\mathfrak{p}_{1}, \ldots, \mathfrak{p}_{m}$ are maximal ideals of $C$. As a result, the $\mathbb{k}$-algebra $C$ is finite dimensional. Let $V$ be a graded complement to $\left.\mathrm{S}\left(\mathfrak{g}^{e}\right)\right)^{g^{e}} \mathfrak{A}_{+}$in $\mathrm{S}\left(\mathfrak{g}^{e}\right)$. From the equality $\mathrm{S}\left(\mathfrak{g}^{e}\right)=V+\mathrm{S}\left(\mathfrak{g}^{e}\right)^{g^{e}} \mathfrak{A}_{+}$, we get that $\mathrm{S}\left(\mathrm{g}^{e}\right)=V \mathfrak{Q}+\mathrm{S}\left(\mathrm{g}^{e}\right)^{g^{e}} \mathfrak{X}_{+}^{m}$ for any nonnegative integer $m$ by induction on $m$. Hence $S\left(\mathfrak{g}^{e}\right)^{g^{e}}=V \mathfrak{A}$ so that $S\left(g^{e}\right)^{g^{e}}$ is a finite extension of $\mathfrak{A}$. Since $\mathfrak{A}$ is integrally closed in the fraction field of $S\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}, \mathfrak{A}=\mathrm{S}\left(\mathfrak{g}^{e}\right)^{\mathfrak{g}^{e}}$.

Proof of Theorem 1.4. The "if" part results from [CM16, Theorem 1.5] (or, here, Theorem 1.3).

Suppose that $e$ is good. By Definition 1.1 and Theorem 1.2, $\mathrm{S}\left(\mathrm{g}^{e}\right)^{g^{e}}$ is a polynomial algebra and the nullvariety of $S\left(g^{e}\right)_{+}^{g^{e}}$ in $\mathfrak{g}^{f}$ is equidimensional of dimension $r-\ell$. On the other hand, by Lemma 4.1(iii), $\mathfrak{A}$ is the integral closure of $A_{0}$ in the fraction field of $\mathrm{S}\left(\mathfrak{g}^{e}\right)$. Hence the nullvarieties of $\mathfrak{A}_{+}$and $A_{0,+}$ in $\mathfrak{g}^{f}$ are the same. But by Corollary 4.2, $\mathfrak{H}=\mathrm{S}\left(\mathrm{g}^{e}\right)^{\mathfrak{g}^{e}}$, so $\mathcal{N}_{0}$ has dimension $r-\ell$ since $e$ is good. On the other hand, $A_{0}$ is contained in $\varepsilon(A)$ by construction of $\varepsilon(A)$, and $\varepsilon(A)$ is contained in $\mathrm{S}\left(\mathrm{g}^{e}\right)^{g^{e}}$ by [PPY07, Proposition 0.1], whence $\mathcal{N}=\mathcal{N}_{0}$.

As a result, $\mathcal{N}$ has dimension $r-\ell$ and so by Theorem 1.5 , for some homogeneous generating sequence $q_{1}, \ldots, q_{\ell}$ of $\mathrm{S}(\mathfrak{g})^{\mathfrak{g}}$, the element ${ }^{e} q_{1}, \ldots,{ }^{e} q_{\ell}$ are algebraically independent over $\mathbb{k}$.

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[^0]:    ${ }^{1}$ Since the Lie algebra $\mathfrak{g}$ does not appear in this section, there will be no possible confusion between $\ell$ and the rank of $\mathfrak{g}$, denoted $\ell$, in the introduction too. However, the notation will be justified in the next sections.

